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## Table of Contents

	Page(s)
<b>Cover.....</b>	<b>1</b>
<b>SF 298.....</b>	<b>2</b>
<b>Table of Contents.....</b>	<b>3</b>
<b>Introduction.....</b>	<b>4</b>
<b>Body.....</b>	<b>4-8</b>
<b>Key Research Accomplishments.....</b>	<b>9</b>
<b>Reportable Outcomes.....</b>	<b>9</b>
<b>Conclusions.....</b>	<b>10</b>
<b>References.....</b>	<b>11</b>
<b>Appendices.....</b>	<b>12-92</b>

## INTRODUCTION:

The clonal evolution of tumor cells in hypoxic microenvironments ultimately selects subpopulations that not only resist apoptosis, but also promote angiogenesis. The transcriptional regulators of the normal hypoxic response, Hypoxia Inducible Factor-1 (HIF-1) and NF- $\kappa$ B, are responsible for induction of genes that promote anaerobic metabolism, cell survival, vasodilatation, and angiogenesis. We hypothesize that cancer cells subvert these normal hypoxia-dependent mechanisms to enable their own deregulated survival, neovasculogenesis, and growth. We propose that inhibition of HIF-1 and/or NF- $\kappa$ B can abrogate the angiogenic and apoptosis-resistant phenotype of breast tumors, thereby curtailing their growth and metastases. We aim to elucidate the molecular mechanisms by which the p53 tumor suppressor regulates HIF-1 and NF- $\kappa$ B activity and examine the effect of inhibiting HIF-1 and/or NF- $\kappa$ B on the growth, neovascularization, and metastatic potential of breast cancers *in vitro* and *in vivo*. These studies will provide insights into the molecular mechanisms governing the response to hypoxic stress and will determine whether their subversion by breast cancers is responsible for their apoptosis-resistant and angiogenic phenotype. These key transcription factors could provide targets for innovative interventions for the treatment and prevention of breast cancer.

## BODY:

### 09/01/99 – 08/31/00:

The first annual report (*September 2000*) covered the first year (0-12 months) of the research project and was devoted to the successful completion of Specific Aim 1 (Tasks 1 and 2 of the statement of work).

Specific Aim 1. Investigate the mechanism(s) of p53-mediated repression HIF-1 and its role in regulation of hypoxia-induced angiogenesis.

- A. Elucidate the molecular mechanism(s) responsible for p53-mediated repression of HIF-1 activity.
- B. Define the role of HIF-1 in the angiogenic phenotype conferred by p53-deficiency

#### Statement of Work (1-12 months)

Task 1: Elucidate the molecular mechanism(s) responsible for p53-mediated repression of HIF-1 activity.

Task 2: Define the role of HIF-1 in the angiogenic phenotype conferred by p53-deficiency

We completed the studies proposed in specific aim 1 (Tasks 1, 2a,b) and published the results and conclusions in:

Ravi, R., Mookerjee, B., Bhujwalla, Z.M., Sutter, C.H., Artemov, D., Zeng, Q., Dillehay, L.E., Madan, A., Semenza, G.L., Bedi, A. Regulation of tumor angiogenesis by p53-induced degradation of hypoxia-inducible factor 1 $\alpha$ . *Genes & Development* 14:34-44, 2000. (*Reprint of publication enclosed-Appendix 1*).

*Abstract:* The switch to an angiogenic phenotype is a fundamental determinant of neoplastic growth and tumor progression. We demonstrate that homozygous deletion of the p53 tumor suppressor gene via homologous recombination in a human cancer cell line promotes the neovascularization and growth of tumor xenografts in nude mice. We find that p53 promotes Mdm2-mediated ubiquitination and proteasomal degradation of the HIF-1 $\alpha$  subunit of hypoxia-inducible factor 1 (HIF-1), a heterodimeric transcription factor that regulates cellular energy metabolism and angiogenesis in response to oxygen deprivation. Loss of p53 in tumor cells enhances HIF-1 $\alpha$  levels and augments HIF-1-dependent transcriptional activation of the vascular endothelial growth factor (VEGF) gene in response to hypoxia. Forced expression of HIF-1 $\alpha$  in p53-expressing tumor cells increases hypoxia-induced VEGF expression and augments neovascularization and growth of tumor xenografts. These results indicate that amplification of normal HIF-1-dependent responses to hypoxia via loss of p53 function contributes to the angiogenic switch during tumorigenesis.

### 09/01/00 – 08/31/01:

The second annual report (*September 2001*) covered the period 12-24 months of the research project, and was devoted to Specific Aim 2 and part of Specific Aim 3 (Tasks 2 and 3 of the statement of work).

Specific Aim 2. Define the role of NF- $\kappa$ B RelA in the angiogenic phenotype conferred by p53 deficiency and the molecular determinants of  $\kappa$ B-dependent angiogenesis

- A. Investigate whether repression of RelA by a transdominant mutant I $\kappa$ B $\alpha$  (I $\kappa$ B $\alpha$ M) can inhibit the angiogenic phenotype conferred by p53-deficiency.
- B. Investigate the molecular determinants of NF- $\kappa$ B-mediated angiogenesis.

Specific Aim 3. Examine the effect of inhibiting HIF-1 or RelA on growth, neovascularization, and metastatic potential of breast cancers.

Statement of Work (12-24 months):

Task 2: Define the role of NF- $\kappa$ B in the angiogenic phenotype conferred by p53-deficiency

Task 3: Define the role of NF- $\kappa$ B on growth and neovascularization of breast cancers.

We completed the studies proposed in specific aim 2 (Task 2) and part of specific aim 3 (Task 3a) and presented the results and conclusions in the following publication:

Ravi, R., Bedi, G.C., Engstrom, L., Zeng, Q., Mookerjee, B., Gelinas, C., Fuchs, E.J., & Bedi, A. Regulation of death receptor expression and TRAIL/Apo2L-induced apoptosis by NF- $\kappa$ B. *Nature Cell Biology* 3:409-416, (2001). (Reprint of publication enclosed-Appendix 2)

*Abstract:* While NF- $\kappa$ B promotes expression of death receptors (TRAIL-R1/R2, CD95/Fas), we have demonstrated that HER-2/neu-mediated activation of NF- $\kappa$ B (RelA) also induces expression of Bcl-x<sub>L</sub> which protects breast cancer cells from Apo2L/TRAIL. Activation of NF- $\kappa$ B requires phosphorylation and ubiquitin-mediated degradation of I $\kappa$ B $\alpha$  via the activity of the I $\kappa$ B-kinase (IKK) complex containing two kinases (IKK- $\alpha$  and IKK- $\beta$ ) and the regulatory protein NEMO (NF- $\kappa$ B essential modifier; IKK- $\gamma$ ). A cell permeable peptide [NEMO binding domain (NBD) peptide] that blocks the interaction of NEMO with the IKK complex inhibits cytokine-induced NF- $\kappa$ B activation. Acetyl salicyclic acid (aspirin; ASA), also specifically inhibits the activity of IKK- $\beta$ . Inhibition of NF- $\kappa$ B by blocking activation of the I $\kappa$ B-kinase complex with either a peptide that disrupts the interaction of IKK $\beta$  with NEMO or by acetyl salicyclic acid (aspirin;ASA) reduces expression of Bcl-x<sub>L</sub> and sensitizes breast cancer cells to Apo2L/TRAIL-induced death. The efficacy of Apo2L/TRAIL in the treatment of breast cancers may be improved by antibody-mediated inhibition of growth factor receptors (HER2/neu or IGF-1R) and/or peptidomimetic drugs that disrupt the IKK-NEMO complex.

Ravi, R. and Bedi, A. Sensitization of breast cancer cells to hypoxia-induced apoptosis by inhibition of NF- $\kappa$ B. (Unpublished Data- Please refer to Appendix 3).

*Abstract:* Electrophoretic mobility shift assays demonstrated that hypoxia induces NF- $\kappa$ B DNA-binding activity in 3T3 fibroblasts (Figure 1a). To examine the role of NF- $\kappa$ B in hypoxia-induced expression of VEGF, RelA<sup>+/+</sup> and RelA<sup>-/-</sup> 3T3 fibroblasts were analyzed for expression of HIF-1 $\alpha$  protein and VEGF mRNA under tissue culture conditions simulating the hypoxic tumor microenvironment. Following exposure to 0.1% O<sub>2</sub>, RelA<sup>+/+</sup> and RelA<sup>-/-</sup> cells exhibited equivalent induction of HIF-1 $\alpha$  protein and VEGF mRNA (Figure 1b and c). However, expression of the anti-apoptotic Bcl-2 family member, Bcl-x<sub>L</sub>, was markedly reduced in RelA<sup>-/-</sup> cells compared to their RelA<sup>+/+</sup> counterparts (Figure 1e). Although RelA was not required for hypoxia-induced expression of VEGF, RelA<sup>-/-</sup> cells exhibited greater levels of hypoxia-induced apoptosis than their RelA<sup>+/+</sup> counterparts (Figure 1d). Activation of NF- $\kappa$ B requires phosphorylation and degradation of I $\kappa$ B $\alpha$  via the activity of the I $\kappa$ B-kinase (IKK) complex. Inhibition of the IKK complex by the non-steroidal anti-inflammatory drug, aspirin (ASA) reduced hypoxia-induced expression of Bcl-x<sub>L</sub> and sensitized cells to hypoxia-induced apoptosis (Figure 1d and e). Together, these results indicate that NF- $\kappa$ B promotes Bcl-x<sub>L</sub> expression and protects tumor cells from hypoxia-induced apoptosis.

09/01/01 – 08/31/02 and 09/01/03 – 08/31/03:

The third annual report (September 2002; 24-36 months) and final report (September 2003; 36-48 months) was devoted to Specific Aim 3 (Task 3 of the statement of work).

We completed the following studies and presented the results in the following publication and manuscript:

Ravi, R. and Bedi, A. Sensitization of Tumor Cells to Apo2L/TRAIL-induced Apoptosis by Inhibition of Casein Kinase II (CK2). *Cancer Research* 62: 4180-4185, 2002. (Appendix 4)

*Abstract:* Tumor cell death can be triggered by engagement of specific death receptors with Apo2L/TRAIL (tumor necrosis factor-related apoptosis-inducing ligand). Apo2L/TRAIL-induced apoptosis involves caspase-8-mediated cleavage of BID. The active truncated form of BID (tBID) triggers the mitochondrial activation of caspase-9 by inducing the activation of BAK or BAX. Although breast cancer cell lines express death receptors for Apo2L/TRAIL, many remain resistant to TRAIL/Apo2L-induced death. Breast cancers frequently exhibit increased activity of casein kinase II (CK2). Here we demonstrate that CK2 is at the nexus of two signaling pathways that

protect tumor cells from Apo2L/TRAIL-induced apoptosis. We find that CK2 inhibits Apo2L/TRAIL-induced caspase-8-mediated cleavage of BID, thereby reducing the formation of tBID. In addition, CK2 promotes NF- $\kappa$ B-mediated expression of Bcl-x<sub>L</sub>, which sequesters tBID and curtails its ability to activate BAX. Tumor cells with constitutive activation of CK2 exhibit a high Bcl-x<sub>L</sub>/tBID ratio and fail to activate caspase-9 or undergo apoptosis in response to Apo2L/TRAIL. Conversely, reduction of the Bcl-x<sub>L</sub>/tBID ratio by inhibition of CK2 renders such cancer cells sensitive to Apo2L/TRAIL-induced activation of caspase-9 and apoptosis. Using isogenic cancer cell lines that differ only in the presence or absence of either the *p53* tumor suppressor or the *BAX* gene, we show that the enhancement of Apo2L/TRAIL-induced tumor cell death by CK2 inhibitors requires BAX, but not *p53*. The identification of CK2 as a key survival signal that protects tumor cells from death receptor-induced apoptosis could aid the design of Apo2L/TRAIL-based combination regimens for treatment of diverse cancers.

Sensitization of breast cancer cells to death receptor-induced apoptosis by inhibition of NF- $\kappa$ B: Synergistic action of Apo2L/TRAIL, Interferon- $\gamma$ , Aspirin and Apigenin. (Abstract presented at Era of Hope, 2002)(*Appendix 5*).

*Abstract:* Although Apo2L/TRAIL is a promising anticancer agent, several breast cancer cell lines remain resistant to Apo2L/TRAIL even though they express death receptors, TRAIL-R1/DR4 and TRAIL-R2/DR5. Our findings demonstrate that cancer cells can be sensitized to Apo2L/TRAIL-induced death by interferon- $\gamma$ -mediated upregulation of BAK, caspase-8, and caspase-7. The elevation of procaspase-8 potentiates Apo2L/TRAIL-mediated formation of tBID, which then interacts with the more abundant BAK to implement mitochondrial outer membrane permeabilization (MOMP) and caspase-9 activation even in the absence of BAX. Interferon- $\gamma$  also facilitates caspase-9-mediated apoptotic signaling downstream of MOMP by increasing the amount of procaspase-7. While interferon- $\gamma$  potentiates death receptor-induced apoptosis, Apo2L/TRAIL death signaling is counteracted by expression of NF- $\kappa$ B-inducible survival proteins, such as Bcl-x<sub>L</sub> and IAPs (cIAP-2 and XIAP). Many breast cancers exhibit constitutively high NF- $\kappa$ B activity resulting from phosphorylation of I $\kappa$ B by I $\kappa$ B kinase (IKK) and/or casein kinase II (CK2). Our findings demonstrate that simultaneous inhibition of IKK $\beta$  (with acetyl salicylic acid, ASA), and CK2 (with the plant flavonoid, apigenin), results in loss of NF- $\kappa$ B-dependent expression of Bcl-x<sub>L</sub> and IAPs, thereby potentiating activation of caspases-9 and -7, and promoting tumor cell apoptosis in response to Apo2L/TRAIL. We also show that the reduction of NF- $\kappa$ B-induced survival proteins by ASA and apigenin synergizes with interferon- $\gamma$ -mediated elevation of death signaling proteins to augment Apo2L/TRAIL-induced apoptosis of breast cancer cells. Further studies are required to evaluate and optimize the therapeutic ratio of the combinatorial regimen of Apo2L/TRAIL, interferon- $\gamma$ , aspirin, and apigenin for treatment of breast cancers.

#### Research Accomplished (Period 24-48 months):

Sensitization of Tumor Cells to Apo2L/TRAIL-induced Apoptosis by Inhibition of Casein Kinase II. Ravi, R. and Bedi, A. *Cancer Research* 62: 4180-4185, 2002. (*Appendix 4*)

Sensitization of breast cancer cells to death receptor-induced apoptosis by inhibition of NF- $\kappa$ B: Synergistic action of Apo2L/TRAIL, Interferon- $\gamma$ , Aspirin and Apigenin. Ravi, R. and Bedi, A. Abstract presented at Era of Hope, 2002 (*Appendix 5*).

#### Introduction

Genetic aberrations that render cells incapable of executing apoptosis underlie the observed resistance of human breast cancers to anticancer agents. Unraveling mechanisms to unleash the apoptotic program in tumor cells could provide effective therapeutic interventions against breast cancers.

Tumor cell death can be triggered by engagement of specific death receptors belonging to the tumor necrosis factor receptor gene superfamily with the “death ligand”, Apo2L/TRAIL (tumor necrosis factor-related apoptosis-inducing ligand). Apo2L/TRAIL-induced cell death involves caspase-8-mediated cleavage of BID to form truncated BID (tBID). tBID induces activation of BAX or BAK leading to mitochondrial outer membrane permeabilization (MOMP) and release of a cocktail of pro-death cofactors (such as cytochrome c, Smac/DIABLO) into the cytoplasm. The interaction of cytochrome c with Apaf-1 results in a nucleotide-dependent conformational change that allows binding and transactivation of caspase-9, which in turn, activates downstream caspases such as caspase-3 and caspase-7. The activation of caspases 9, 3, and 7, is further potentiated by Smac/DIABLO (second mitochondria-derived activator of caspase), a protein which binds and antagonizes the IAPs.

Apo2L/TRAIL induces apoptosis of many cancer cell lines *in vitro*, and its tumoricidal activity and safety *in vivo* has been confirmed in preclinical animal models of human breast cancer xenografts. However, many breast cancer cell lines express death receptors for Apo2L/TRAIL, yet remain relatively resistant to Apo2L/TRAIL-induced apoptosis. The identification of the molecular determinants of Apo2L/TRAIL-induced death and key survival proteins that interrupt death receptor-induced signaling in tumor cells could aid the design of Apo2L/TRAIL-based combination regimens against breast cancers.

## Results

### 1. *Tumor cell resistance to Apo2L/TRAIL-mediated apoptosis via loss of BAX, but not p53.*

HCT116 cells have wild type *p53* (*p53*<sup>+/+</sup>) and an intact *BAX* allele (*BAX*<sup>+/+</sup>), and express functional *p53* and *BAX* proteins. Isogenic *p53*-deficient (*p53*<sup>-/-</sup>) or *BAX*-deficient (*BAX*<sup>-/-</sup>) derivatives of HCT116 cells were generated by targeted inactivation of either both *p53* alleles or the wild-type *BAX* allele in a *BAX* heterozygote (8, 9). Exposure of both *BAX*-proficient (*p53*<sup>-/-</sup> and *p53*<sup>+/+</sup>) and *BAX*<sup>-/-</sup> HCT116 cells to Apo2L/TRAIL resulted in activation of caspase-8 and caspase-8-mediated proteolysis of tBID (Fig. 1, 2). The formation of truncated tBID by Apo2L/TRAIL triggered the mitochondrial activation of caspase-9, and resulted in cleavage of caspase-7 and PARP in *BAX*-proficient HCT116 cells (*p53*<sup>+/+</sup> or *p53*<sup>-/-</sup>) (Fig. 1, Fig. 2). In contrast, isogenic *BAX*<sup>-/-</sup> HCT116 cells failed to activate caspase-9 or caspase-7, and were resistant to Apo2L/TRAIL-induced apoptosis (Fig. 1, 2, 4). Therefore, Apo2L/TRAIL-induced apoptosis of cancer cells is independent of *p53*, but requires *BAX*.

### 2. *Interferon-γ augments the Apo2L/TRAIL-induced death signaling pathway.*

We examined the effect of interferon-γ on expression of the molecular components of the Apo2L/TRAIL-induced death signaling pathway in *BAX*<sup>+/+</sup> or *BAX*<sup>-/-</sup> isogenic tumor cells. Immunoblot analyses demonstrated that treatment with interferon-γ increased expression of the zymogens, caspase-8 and caspase-7, in both *BAX*<sup>+/+</sup> and *BAX*<sup>-/-</sup> cells, but did not change expression of caspase-9 (Fig. 2). Treatment with interferon-γ also increased expression of BAK, without altering levels of *BAX* (Fig. 2). Since interferon-γ augmented expression of sequential determinants of the Apo2L/TRAIL-induced death signaling pathway (caspase-8, BAK, and caspase-7), we investigated whether interferon-γ can overcome the resistance of *BAX*<sup>-/-</sup> tumor cells to Apo2L/TRAIL. Pre-incubation of either *BAX*<sup>+/+</sup> or *BAX*<sup>-/-</sup> tumor cells with interferon-γ for 16h (and continued exposure for 48h in the presence of Apo2L/TRAIL) promoted formation of tBID, activation of caspase-9 and caspase-7, efficient cleavage of PARP, and induction of tumor cell death in response to Apo2L/TRAIL (Fig. 2, 4).

### 3. *Inhibition of Apo2L/TRAIL-induced apoptosis of tumor cells by Bcl-x<sub>L</sub>.*

tBID triggers mitochondrial outer membrane permeabilization (MOMP) by inducing the allosteric activation of BAK or *BAX*. To investigate whether the induction of apoptosis by the combination of Apo2L/TRAIL and interferon-γ is hindered by Bcl-x<sub>L</sub>, we introduced a retroviral vector encoding Bcl-x<sub>L</sub> into *BAX*-proficient HCT116 cells [Bcl-x<sub>L</sub>(*BAX*<sup>+/+</sup>)]. Although Apo2L/TRAIL (with or without interferon-γ) induced formation of tBID, it could not activate caspases-9 or -7, and failed to induce apoptosis in *BAX*-proficient tumor cells overexpressing exogenous Bcl-x<sub>L</sub> [Bcl-x<sub>L</sub>(*BAX*<sup>+/+</sup>)] (Fig. 2, 4). Therefore, the ability of tBID to activate *BAX* or BAK is curtailed via its sequestration by Bcl-x<sub>L</sub>.

### 4. *Apo2L/TRAIL-induced apoptosis is augmented by inhibiting NF-κB-dependent expression of Bcl-x<sub>L</sub> and IAPs with acetyl salicylic acid and apigenin.*

The human *bcl-x* promoter contains a κB DNA site (TTTACTGCC; 298/+22) responsible for its Rel-dependent induction. In addition to Bcl-x<sub>L</sub>, members of the inhibitor of apoptosis family [cIAP-2 and X-chromosome linked IAP (XIAP)] are also NF-κB-induced proteins which inhibit caspases (-9, -7, -3). Activation of NF-κB requires phosphorylation of the inhibitory proteins, the IκBs, by either the IκB kinase (IKK) complex or casein kinase II (CK2). The IKKβ catalytic subunit of IKK is inhibited by non-steroidal anti-inflammatory drugs (NSAIDs), such as acetyl salicylic acid (aspirin) or sulindac sulfide, whereas CK2 is inhibited by the plant flavone, apigenin (Fig. 3). The combination of ASA (1 mM) with apigenin (10 μM) resulted in a greater repression of NF-κB DNA-binding activity and endogenous Bcl-x<sub>L</sub> and IAPs (cIAP-2 and XIAP) than either agent alone (Fig. 3). Consistent with the reduced levels of Bcl-x<sub>L</sub> and IAPs, treatment with the combination of ASA with apigenin potentiated activation of caspases-9 and -7, and induction of tumor cell apoptosis in response to Apo2L/TRAIL (Fig. 2, 4).

### 5. *Sensitization of breast cancer cells to Apo2L/TRAIL-induced apoptosis by the synergistic effects of IFN-γ and NF-κB inhibitors (aspirin and apigenin)*

Our results indicate that interferon- $\gamma$  enhances expression of members of the Apo2L/TRAIL-death signaling pathway (caspase-8, BAK, and caspase-7), while the expression of NF- $\kappa$ B-induced survival proteins (Bcl-x<sub>L</sub> and IAPs) is reduced by the combination of ASA and apigenin (Fig 2, 3). To investigate whether interferon- $\gamma$ -mediated elevation of death signaling proteins can synergize with the reduction of NF- $\kappa$ B-induced survival proteins to augment Apo2L/TRAIL-induced apoptosis, we examined the effect of a combinatorial regimen of interferon- $\gamma$ , ASA, and apigenin, on the sensitivity of human breast cancer cell lines (MCF-7, SKBr-3, Hs578) to Apo2L/TRAIL-induced death. All three cell lines were sensitized to Apo2L/TRAIL-induced apoptosis by the synergistic effects of interferon- $\gamma$  and NF- $\kappa$ B inhibitors (ASA, and apigenin)(Fig. 4).

#### Discussion:

Human breast cancer cell lines exhibit a wide heterogeneity in their sensitivity to TRAIL/Apo2L *in vitro*, and many remain resistant to Apo2L/TRAIL-induced apoptosis. These data suggest that successful treatment of breast cancers with TRAIL/Apo2L may require its combination with agents that inhibit survival signals responsible for protecting tumor cells from death receptor-induced apoptosis.

Apo2L/TRAIL-induced cell death involves caspase-8-mediated cleavage of BID to form truncated BID (tBID). tBID induces activation of BAX or BAK leading to mitochondrial outer membrane permeabilization (MOMP) and release of a cocktail of pro-death cofactors (such as cytochrome c, Smac/DIABLO) into the cytoplasm. Since many breast cancer cells exhibit decreased expression of BAX (*unpublished observations*), our data suggest that BAX-deficiency may render breast cancer cells resistant to Apo2L/TRAIL-induced apoptosis. In addition, amplification and consequent overexpression c-erbB2 (HER-2/neu) or IGF-1 receptor (IGF-1R) is observed in a significant proportion of human breast cancers. Both HER-2/neu and IGF-1R promote PI3 kinase (PI3-K)-mediated phosphorylation and activation of Akt, a serine-threonine kinase that, in turn, activates the I $\kappa$ B kinase (IKK) complex. The activated IKK complex induces phosphorylation-mediated degradation of I $\kappa$ B, thereby promoting activation of NF- $\kappa$ B. In addition to aberrant activation of the IKK complex, breast cancers frequently exhibit increased activity of casein kinase II (CK2). The activation of either IKK or CK2 results in constitutive NF- $\kappa$ B activity in breast cancer cells. Our results indicate that NF- $\kappa$ B protects breast cancer cells from Apo2L/TRAIL-induced apoptosis by promoting expression of Bcl-x<sub>L</sub>, a Bcl-2 family member that sequesters tBID and inhibits activation of BAX. In addition to Bcl-x<sub>L</sub>, NF- $\kappa$ B also protects tumor cells from Apo2L/TRAIL-induced apoptosis by inducing expression of members of the inhibitor of apoptosis family [cIAP-2 and X-chromosome linked IAP (XIAP)].

Our findings demonstrate that cancer cells can be sensitized to Apo2L/TRAIL-induced death by interferon- $\gamma$ -mediated upregulation of BAK, caspase-8, and caspase-7. The elevation of procaspase-8 potentiates Apo2L/TRAIL-mediated formation of tBID, which then interacts with the more abundant BAK to implement mitochondrial outer membrane permeabilization (MOMP) and caspase-9 activation even in the absence of BAX. Interferon- $\gamma$  also facilitates caspase-9-mediated apoptotic signaling downstream of MOMP by increasing the amount of procaspase-7. While interferon- $\gamma$  potentiates death receptor-induced apoptosis, Apo2L/TRAIL death signaling is counteracted by expression of NF- $\kappa$ B-inducible survival proteins, such as Bcl-x<sub>L</sub> and IAPs (cIAP-2 and XIAP). Our findings demonstrate that simultaneous inhibition of IKK $\beta$  (with acetyl salicylic acid, ASA), and CK2 (with the plant flavonoid, apigenin), results in loss of NF- $\kappa$ B-dependent expression of Bcl-x<sub>L</sub> and IAPs, thereby potentiating activation of caspases-9 and -7, and promoting tumor cell apoptosis in response to Apo2L/TRAIL. We also show that the reduction of NF- $\kappa$ B-induced survival proteins by ASA and apigenin synergizes with interferon- $\gamma$ -mediated elevation of death signaling proteins to augment Apo2L/TRAIL-induced apoptosis of breast cancer cells.

Our results suggest that the following Apo2L/TRAIL-based combination regimens may be useful for the treatment of human breast cancers:

1. Apo2L/TRAIL + Interferon- $\gamma$  + inhibitors of growth factor receptor tyrosine kinases (HER-2/neu) (trastuzumab).
2. Apo2L/TRAIL + interferon- $\gamma$  + aspirin + apigenin
3. Apo2L/TRAIL + interferon- $\gamma$  + NF- $\kappa$ B inhibitors (PS-341 or parthenolide)

Further studies are required to evaluate and optimize the therapeutic ratio of these combinatorial regimens.

## KEY RESEARCH ACCOMPLISHMENTS:

- Our observations indicate that loss of p53 function, via somatic mutations or expression of viral oncoproteins, contributes to activation of the angiogenic switch and promotes tumor growth.
- Our studies define a novel mechanism by which p53 regulates the angiogenic switch; p53 inhibits hypoxia-induced expression of HIF-1 $\alpha$  by facilitating its ubiquitination and subsequent degradation.
- Our findings suggest that amplification of HIF-1 activity resulting from loss of p53 function may contribute to the overexpression of VEGF that is observed in a wide variety of human cancers.
- Our results indicate that NF- $\kappa$ B/RelA is required for hypoxia-induced expression of Bcl-x<sub>L</sub> and protection of cells from hypoxia- and death receptor-induced apoptosis.
- Our findings indicate that activation of NF- $\kappa$ B by HER-2/neu or insulin-like growth factor-1 (IGF-1) renders breast cancer cells relatively resistant to Apo2L/TRAIL-induced apoptosis. Conversely, breast cancer cells can be sensitized to Apo2L/TRAIL-induced death by antibody-mediated inhibition of growth factor receptors (HER2/neu or IGF-1R) and/or inhibitors of the IKK complex.
- Our findings have identified casein kinase-II (CK2) as a key survival signal that activates NF- $\kappa$ B and protects tumor cells from Apo2L/TRAIL-induced apoptosis. Conversely, breast cancer cells can be sensitized to Apo2L/TRAIL-induced death by inhibition of CK2 with the plant flavone, apigenin.
- Our findings demonstrate that breast cancer cells can be sensitized to Apo2L/TRAIL-induced death by interferon- $\gamma$ -mediated upregulation of death signaling proteins (caspase-8, BAK, and caspase-7). Interferon- $\gamma$ -mediated elevation of death signaling proteins synergizes with the reduction of NF- $\kappa$ B-induced survival proteins by aspirin and apigenin to augment Apo2L/TRAIL-induced apoptosis of breast cancer cells. The combination of Apo2L/TRAIL, interferon- $\gamma$ , aspirin, and apigenin may be an effective regimen for treatment of breast cancers.

## REPORTABLE OUTCOMES:

### Manuscripts/ Abstracts/ Presentations:

We have completed the studies proposed in specific aims 1, 2, and 3 (Tasks 1,2, and 3) and have reported the results and conclusions in:

Ravi, R., Mookerjee, B., Bhujwalla, Z.M., Sutter, C.H., Artemov, D., Zeng, Q., Dillehay, L.E., Madan, A., Semenza, G.L., Bedi, A. Regulation of tumor angiogenesis by p53-induced degradation of hypoxia-inducible factor 1 $\alpha$ . *Genes & Development* 14:34-44, 2000. (Appendix 1-Reprint of publication enclosed).

These findings were presented (abstract & poster) at the AACR-NCI-EORTC Meeting in Washington, D.C., 1999.

Ravi, R., Mookerjee, B., Bhujwalla, Z.M., Sutter, C.H., Artemov, D., Zeng, Q., Dillehay, L.E., Madan, A., Semenza, G.L., Bedi, A. Regulation of tumor angiogenesis by p53-induced degradation of hypoxia-inducible factor 1 $\alpha$ . *Abstract & Presentation at AACR-NCI-EORTC Meeting*, Washington, D.C., Nov. 1999.

Ravi, R., Bedi, G.C., Engstrom, L., Zeng, Q., Mookerjee, B., Gelinas, C., Fuchs, E.J., & Bedi, A. Regulation of death receptor expression and TRAIL/Apo2L-induced apoptosis by NF- $\kappa$ B. *Nature Cell Biology* 3:409-416, (2001). (Appendix 2-Reprint of publication enclosed)

Ravi, R. and Bedi, A. Sensitization of Tumor Cells to Apo2L/TRAIL-induced Apoptosis by Inhibition of Casein Kinase II. *Cancer Research* 62: 4180-4185, 2002. (Appendix 4)

Ravi, R., Prouser, T and Bedi, A. Sensitization of breast cancer cells to death receptor-induced apoptosis by inhibition of NF- $\kappa$ B: Synergistic action of Apo2L/TRAIL, Interferon- $\gamma$ , Aspirin and Apigenin. *Abstract presented at Era of Hope, Orlando, FL, September 2002* (Appendix 5).

Ravi, R and Bedi, A. Role of Death Receptors in Apoptosis, *Genetics of Apoptosis*. BIOS Scientific Publishers, Oxford, U.K.. Editor – Grimm, S., 2002 .(Appendix 6)

Ravi, R and Bedi, A. NF- $\kappa$ B in cancer – a friend turned foe. *Drug Resistance Updates* 7: 53-67, 2004. (Appendix 7-

## CONCLUSIONS:

### Importance of completed research:

There are two major impediments to the successful treatment of breast cancer. First, surgical extirpation of the primary neoplasm is often followed by the occurrence of metastatic tumors. Second, overt metastases are resistant to conventional chemo- or radio-therapy. Therefore, successful treatment is contingent upon identifying strategies to prevent metastases or eliminate tumor cells that have acquired genetic aberrations that confer resistance to cytotoxic agents. Our results indicate that amplification of HIF-1 activity resulting from loss of p53 function may contribute to the angiogenic phenotype of human cancers. Conversely, inhibition of HIF-1 may provide a therapeutic strategy to curtail the tumor growth and progression. We have also determined that activation of NF- $\kappa$ B promotes expression of Bcl-x<sub>L</sub> protects cells from hypoxia-induced apoptosis. Our findings provide a scientific foundation for targeting HIF-1 and NF- $\kappa$ B to overcome the hypoxia-resistant angiogenic phenotype of breast cancers.

Apo2L/TRAIL induces apoptosis of many cancer cell lines *in vitro*, and its tumoricidal activity and safety *in vivo* has been confirmed in preclinical animal models of human breast cancer xenografts. However, many breast cancer cell lines express death receptors for Apo2L/TRAIL, yet remain relatively resistant to Apo2L/TRAIL-induced apoptosis. Our data suggest that breast cancer cells may be rendered resistant to Apo2L/TRAIL-induced apoptosis by deficiency of BAX, a pro-apoptotic member of the Bcl-2 family. Our findings demonstrate that BAX-deficient cancer cells can be sensitized to Apo2L/TRAIL-induced death by interferon- $\gamma$ -mediated upregulation of BAK, caspase-8, and caspase-7.

While interferon- $\gamma$  potentiates death receptor-induced apoptosis, Apo2L/TRAIL death signaling is counteracted by expression of NF- $\kappa$ B-inducible survival proteins, such as Bcl-x<sub>L</sub> and IAPs (cIAP-2 and XIAP). Amplification and consequent overexpression c-erbB2 (HER-2/neu) or IGF-1 receptor (IGF-1R) is observed in a significant proportion of human breast cancers. Both HER-2/neu and IGF-1R promote PI3 kinase (PI3-K)-mediated phosphorylation and activation of Akt, a serine-threonine kinase that, in turn, activates the I $\kappa$ B kinase (IKK) complex. The activated IKK complex induces phosphorylation-mediated degradation of I $\kappa$ B, thereby promoting activation of NF- $\kappa$ B. In addition to aberrant activation of the IKK complex, breast cancers frequently exhibit increased activity of casein kinase II (CK2). Many breast cancers exhibit constitutively high NF- $\kappa$ B activity resulting from phosphorylation of I $\kappa$ B by I $\kappa$ B kinase (IKK) and/or casein kinase II (CK2). Our findings demonstrate that simultaneous inhibition of IKK $\beta$  (with acetyl salicylic acid, ASA), and CK2 (with the plant flavonoid, apigenin), results in loss of NF- $\kappa$ B-dependent expression of Bcl-x<sub>L</sub> and IAPs, thereby promoting tumor cell apoptosis in response to Apo2L/TRAIL. We also show that the reduction of NF- $\kappa$ B-induced survival proteins by ASA and apigenin synergizes with interferon- $\gamma$ -mediated elevation of death signaling proteins to augment Apo2L/TRAIL-induced apoptosis of breast cancer cells. The identification of the molecular determinants of Apo2L/TRAIL-induced death and key survival proteins that interrupt death receptor-induced signaling in tumor cells could aid the design of Apo2L/TRAIL-based combination regimens against breast cancers.

### Implications and practical applications of completed research:

Strategies to inhibit angiogenesis have hitherto focused upon inhibition of individual angiogenic factors/receptors or suppression of endothelial cell proliferation. Unlike these approaches which target downstream mediators of angiogenesis, strategies that target the proximal transcriptional mediators of angiogenesis and cell survival (HIF-1 or NF- $\kappa$ B) would be expected to inhibit an entire panel of synergizing factors. As such, it may be more potent and less susceptible to evasion by genetically pliable tumor cells that could evolve mechanisms of resistance against any individual factor. By demonstrating that deregulation of HIF-1 contributes to the increased expression of VEGF in p53-deficient cancers, our data provide further support for the hypothesis that inhibition of HIF-1 may abrogate the ability of such tumors to establish an adequate vascular supply and adapt their cellular metabolism to hypoxia, thereby curtailing their growth and metastasis. By identifying NF- $\kappa$ B as a key determinant of tumor cell survival, our studies suggest that inhibition of NF- $\kappa$ B by drugs that target the IKK complex and inhibit casein kinase II may be used to potentiate Apo2L/TRAIL-induced death of breast cancer cells. Our results suggest that the following Apo2L/TRAIL-based combination regimens may be useful for the treatment of human breast cancers:

1. Apo2L/TRAIL + Interferon- $\gamma$  + inhibitors of growth factor receptors (HER-2/neu) (trastuzumab).
2. Apo2L/TRAIL + interferon- $\gamma$  + NF- $\kappa$ B inhibitors

### Future Studies:

We have initiated studies to evaluate and optimize the therapeutic ratio of the above combinatorial regimens.

## REFERENCES:

The references pertinent to the report are listed in the appended publications and manuscript (Appendices 1,2,4,6).

Ravi, R., Mookerjee, B., Bhujwalla, Z.M., Sutter, C.H., Artemov, D., Zeng, Q., Dillehay, L.E., Madan, A., Semenza, G.L., Bedi, A. Regulation of tumor angiogenesis by p53-induced degradation of hypoxia-inducible factor 1 $\alpha$ . *Genes & Development* 14:34-44, 2000. (Appendix 1-Reprint of publication enclosed).

Ravi, R., Bedi, G.C., Engstrom, L., Zeng, Q., Mookerjee, B., Gelinas, C., Fuchs, E.J., & Bedi, A. Regulation of death receptor expression and TRAIL/Apo2L-induced apoptosis by NF- $\kappa$ B. *Nature Cell Biology* 3:409-416, (2001). (Appendix 2-Reprint of publication enclosed)

Ravi, R. and Bedi, A. Sensitization of Tumor Cells to Apo2L/TRAIL-induced Apoptosis by Inhibition of Casein Kinase II. *Cancer Research* 62: 4180-4185, 2002. (Appendix 4-Reprint of publication enclosed)

Ravi, R and Bedi, A. Role of Death Receptors in Apoptosis, *Genetics of Apoptosis*. BIOS Scientific Publishers, Oxford, U.K.. Editor – Grimm, S., 2002 (Appendix 6-Reprint of publication enclosed)

Ravi, R and Bedi, A. NF- $\kappa$ B in cancer – a friend turned foe. *Drug Resistance Updates* 7: 53-67, 2004. (Appendix 7-Reprint of publication enclosed)

## **APPENDICES:**

### **Appendix 1:**

Reprint of publication: (Page Numbers 13-24)

Ravi, R., Mookerjee, B., Bhujwalla, Z.M., Sutter, C.H., Artemov, D., Zeng, Q., Dillehay, L.E., Madan, A., Semenza, G.L., Bedi, A. Regulation of tumor angiogenesis by p53-induced degradation of hypoxia-inducible factor 1 $\alpha$ . *Genes & Development* 14:34-44, 2000.

### **Appendix 2:**

Reprint of publication: (Page Numbers 25-32)

Ravi, R., Bedi, G.C., Engstrom, L., Zeng, Q., Mookerjee, B., Gelinas, C., Fuchs, E.J., & Bedi, A. Regulation of death receptor expression and TRAIL/Apo2L-induced apoptosis by NF- $\kappa$ B. *Nature Cell Biology* 3:409-416, (2001).

### **Appendix 3:**

Unpublished Data: (Page 33-34)

Figure 1

Ravi, R. and Bedi, A. Sensitization of breast cancer cells to hypoxia-induced apoptosis by inhibition of NF- $\kappa$ B.

### **Appendix 4:**

Reprint of publication: (Page Numbers 35-40)

Ravi, R. and Bedi, A. Sensitization of Tumor Cells to Apo2L/TRAIL-induced Apoptosis by Inhibition of Casein Kinase II. *Cancer Research* 62: 4180-4185, 2002.

### **Appendix 5:**

Unpublished Data: (Page 41-47)

Figures 1-4

Ravi, R., Prouser, T and Bedi, A. Sensitization of breast cancer cells to death receptor-induced apoptosis by inhibition of NF- $\kappa$ B: Synergistic action of Apo2L/TRAIL, Interferon- $\gamma$ , Aspirin and Apigenin.

*Abstract presented at Era of Hope, Orlando, FL, September 2002*

### **Appendix 6:**

Reprint of publication: (Page Numbers 48-77)

Ravi, R and Bedi, A. Role of Death Receptors in Apoptosis, *Genetics of Apoptosis*. BIOS Scientific Publishers, Oxford, U.K.. Editor – Grimm, S., 2002

### **Appendix 7:**

Reprint of publication; (Page Numbers 78-92)

Ravi, R and Bedi, A. NF- $\kappa$ B in cancer – a friend turned foe. *Drug Resistance Updates* 7: 53-67, 2004.



## Regulation of tumor angiogenesis by p53-induced degradation of hypoxia-inducible factor 1 $\alpha$

Rajani Ravi, Bijoyesh Mookerjee, Zaver M. Bhujwalla, et al.

*Genes Dev.* 2000 14: 34-44

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# Regulation of tumor angiogenesis by p53-induced degradation of hypoxia-inducible factor 1 $\alpha$

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The switch to an angiogenic phenotype is a fundamental determinant of neoplastic growth and tumor progression. We demonstrate that homozygous deletion of the *p53* tumor suppressor gene via homologous recombination in a human cancer cell line promotes the neovascularization and growth of tumor xenografts in nude mice. We find that *p53* promotes *Mdm2*-mediated ubiquitination and proteasomal degradation of the HIF-1 $\alpha$  subunit of hypoxia-inducible factor 1 (HIF-1), a heterodimeric transcription factor that regulates cellular energy metabolism and angiogenesis in response to oxygen deprivation. Loss of *p53* in tumor cells enhances HIF-1 $\alpha$  levels and augments HIF-1-dependent transcriptional activation of the vascular endothelial growth factor (*VEGF*) gene in response to hypoxia. Forced expression of HIF-1 $\alpha$  in *p53*-expressing tumor cells increases hypoxia-induced *VEGF* expression and augments neovascularization and growth of tumor xenografts. These results indicate that amplification of normal HIF-1-dependent responses to hypoxia via loss of *p53* function contributes to the angiogenic switch during tumorigenesis.

[Key Words: *p53*; hypoxia-inducible factor-1 (HIF-1); angiogenesis; vascular endothelial growth factor (*VEGF*); hypoxia; cancer]

Received August 2, 1999; revised version accepted November 19, 1999.

Regions of vascular deficiency or defective microcirculation in growing tumors are deprived of O<sub>2</sub>, glucose, and other nutrients. Apoptosis induced by nutrient deficiency counterbalances cell proliferation and limits tumor growth [Holmgren et al. 1995; O'Reilly et al. 1996; Parangi et al. 1996]. Clonal evolution of tumor cells in this hypoxic microenvironment results from selection of subpopulations that not only resist apoptosis [Graeber et al. 1996] but also promote the formation of new blood vessels (for review, see Hanahan and Folkman 1996; Folkman 1997). In addition to promoting further growth of the primary tumor, cellular adaptation to hypoxia and tumor neovascularization strongly correlate with the risk of invasion and metastasis [Brown and Giaccia 1998; Dang and Semenza 1999; for review, see Folkman 1997]. The switch to an angiogenic phenotype is considered to be a fundamental determinant of neoplastic progression [Gimbrone et al. 1972; Folkman et al. 1989; Bergers et al. 1999]. This realization has, in turn, fueled an intense search for the molecular mechanisms by which the angiogenic switch is activated during tumorigenesis.

Hypoxia-inducible factor 1 (HIF-1) is a heterodimeric transcription factor that regulates O<sub>2</sub> homeostasis and physiologic responses to O<sub>2</sub> deprivation (for review, see Guillemin and Krasnow 1997; Semenza 1999). HIF-1 consists of two subunits, HIF-1 $\alpha$  and HIF-1 $\beta$ , that belong to a subfamily of basic helix-loop-helix (bHLH) transcription factors containing a PAS (Per-ARNT-Sim) motif [Wang et al. 1995]. A decrease in cellular O<sub>2</sub> tension leads to elevation of HIF-1 activity via stabilization of the HIF-1 $\alpha$  protein; conversely, ubiquitin-mediated proteolysis of HIF-1 $\alpha$  on reexposure to a normoxic environment results in rapid decay of HIF-1 activity [Semenza and Wang 1992; Wang et al. 1995; Salceda and Caro 1997; Huang et al. 1998; Kallio et al. 1999]. The binding of HIF-1 $\alpha$ , in conjunction with its dimerization partner HIF-1 $\beta$ , to DNA (consensus binding sequence, 5'-RC-GTG-3') leads to the transcriptional activation of genes that mediate anaerobic metabolism (glucose transporters and glycolytic enzymes), O<sub>2</sub>-carrying capacity (erythropoietin, transferrin), and vasodilatation (inducible nitric oxide synthase and heme oxygenase-1) (for review, see Guillemin and Krasnow 1997; Semenza 1999). HIF-1 also binds to the 5' flanking sequence of the vascular endothelial growth factor (*VEGF*) gene and is required for transactivation of *VEGF* in response to hypoxia [Forsythe

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## Role of p53 and HIF-1 in tumor angiogenesis

et al. 1996; Carmeliet et al. 1998; Iyer et al. 1998; Ryan et al. 1998]. The binding of VEGF to the receptor tyrosine kinases flk1/KDR, flt-1, and flt-4 (VEGFR-1–VEGFR-3) on vascular endothelial cells promotes their proliferation and leads to vessel formation [for review, see Ferrara 1993; Risau and Flamme 1995; Brown et al. 1996]. In contrast to wild-type cells, *VEGF* gene expression is not induced by hypoxia in HIF-1 $\alpha$ -deficient embryonic stem cells, and dramatic vascular regression occurs in HIF-1 $\alpha$ -null mouse embryos [Iyer et al. 1998; Kotch et al. 1999]. Therefore, HIF-1 is a key transcriptional mediator of metabolic adaptation and VEGF-mediated angiogenesis in response to hypoxia. Although these responses serve to maintain O<sub>2</sub> homeostasis in normal tissues, they are also co-opted by tumors to facilitate neovascularization and growth. Akin to their role in vascular development and remodeling in normal tissues, HIF-1 $\alpha$  [Maxwell et al. 1997; Carmeliet et al. 1998; Ryan et al. 1998] and VEGF [Plate et al. 1992; Shweiki et al. 1992; Kim et al. 1993; Millauer et al. 1994] facilitate tumor angiogenesis, and both HIF-1 $\alpha$  [Zhong et al. 1999] and VEGF [for review, see Folkman 1997] are overexpressed in a wide variety of human cancers.

The genetic alterations that are responsible for oncogenesis and tumor progression may also underlie the ability of tumors to switch to an angiogenic phenotype. The human *p53* tumor suppressor gene encodes a multifunctional transcription factor that mediates cellular responses to diverse stimuli, including DNA damage and hypoxia [for review, see Giaccia and Kastan 1998]. In addition to being an integral component of the surveillance mechanisms that arrest cell cycle progression under adverse conditions, *p53* is also involved in mediating hypoxia-induced apoptosis [Graeber et al. 1996] and inducing inhibitors of angiogenesis such as thrombospondin-1 [Dameron et al. 1994; Van Meir et al. 1994]. Evidence also suggests that *p53* negatively regulates *VEGF* expression [Mukhopadhyay et al. 1995; Bouvet et al. 1998; Fontanini et al. 1998]. Somatic mutations of the *p53* gene represent one of the most common genetic alterations in human cancers, and the acquisition of such defects is strongly associated with tumor progression and metastasis [for review, see Levine 1997].

In this study, we demonstrate that genetic inactivation of *p53* in cancer cells provides a potent stimulus for tumor angiogenesis and identify a novel mechanism by which loss of *p53* function contributes to activation of the angiogenic switch in tumors. We find that homozygous deletion of *p53* via homologous recombination in human colon cancer cells promotes the neovascularization and growth of tumor xenografts in nude mice. We show that *p53* inhibits HIF-1 activity by targeting the HIF-1 $\alpha$  subunit for Mdm2-mediated ubiquitination and proteasomal degradation. Conversely, the loss of *p53* enhances hypoxia-induced HIF-1 $\alpha$  levels and augments HIF-1-dependent expression of *VEGF* in tumor cells. We further demonstrate that forced expression of HIF-1 $\alpha$  in *p53*-expressing tumor cells promotes *VEGF* expression and neovascularization of tumor xenografts. These findings indicate that inactivation of *p53* in tumor cells con-

tributes to activation of the angiogenic switch via amplification of normal HIF-1-dependent responses to hypoxia.

## Results

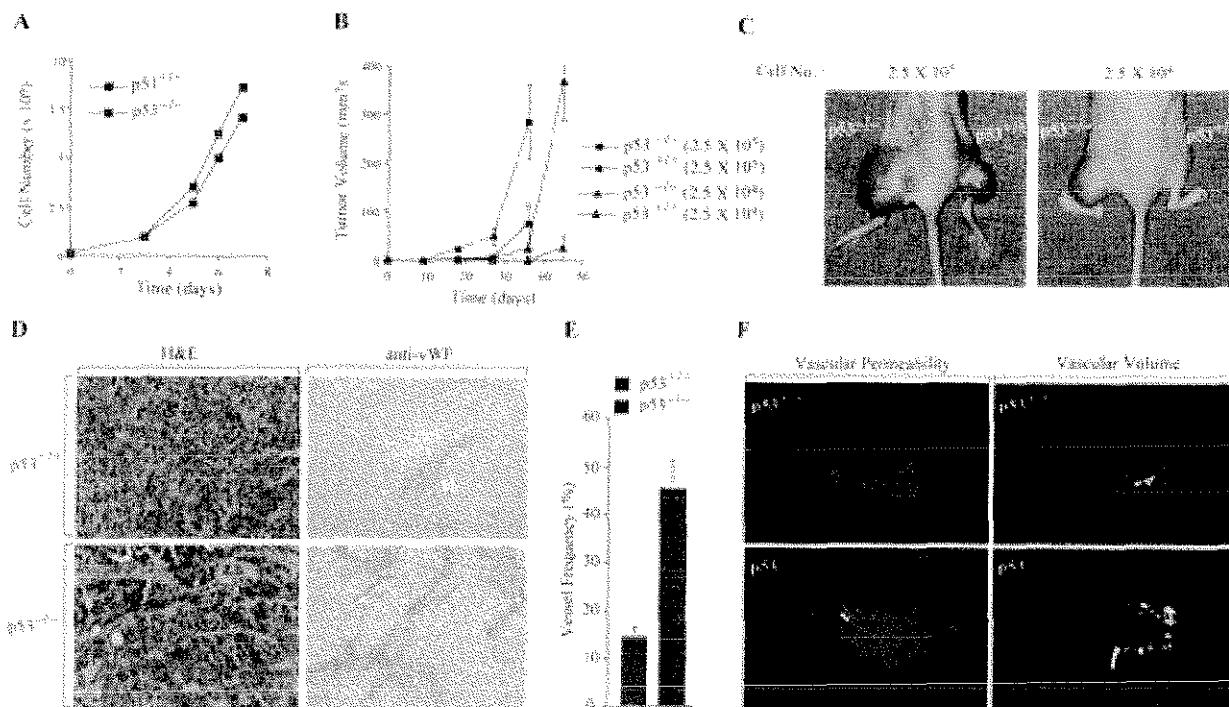
*Inhibition of tumor angiogenesis and growth by p53*

The effect of *p53* on tumor cell growth and angiogenesis was examined by comparing an isogenic set of human colon adenocarcinoma cell lines differing only in their *p53* status [Bunz et al. 1998]. The parental HCT116 line, containing wild-type *p53* (*p53*<sup>+/+</sup>), and a *p53*-deficient derivative (*p53*<sup>-/-</sup>), generated by homologous recombination, demonstrated equivalent growth kinetics in tissue culture, with doubling times of 29 and 32 hr, respectively [Fig. 1A]. However, xenografts ( $2.5 \times 10^4$ – $2.5 \times 10^5$  cells) of *p53*<sup>-/-</sup> HCT116 cells in athymic BALB/c (nu/nu) mice exhibited a significantly shorter latency and marked increase in tumor growth kinetics compared with their *p53*<sup>+/+</sup> counterparts [Fig. 1B,C]. Whereas 12/12 animals inoculated with  $2.5 \times 10^4$  *p53*<sup>-/-</sup> cells developed tumors within 3 weeks, only 1/12 mice receiving the same number of *p53*<sup>+/+</sup> cells was able to establish a tumor during the entire 8-week observation period. To examine whether the observed differences in growth kinetics *in vivo* were associated with variation in tumor vascularity, tumors established from *p53*<sup>+/+</sup> and *p53*<sup>-/-</sup> cells were subjected to histologic analysis and nuclear magnetic resonance (NMR) imaging. Immunohistochemical analyses of tumor sections using an antibody against von Willebrand Factor (vWF) demonstrated significantly increased blood vessel density in *p53*<sup>-/-</sup> tumors compared with their *p53*<sup>+/+</sup> counterparts [Fig. 1D,E]. Analyses of neovascularization by NMR imaging showed that compared with *p53*<sup>+/+</sup> tumors, *p53*<sup>-/-</sup> tumors had a higher vascular volume ( $14 \pm 2.6$   $\mu\text{l/g}$  vs.  $8.4 \pm 2.4$   $\mu\text{l/g}$  in highly permeable regions), as well as a threefold greater vascular permeability ( $0.4 \pm 0.18$   $\mu\text{l/g/min}$  vs.  $0.13 \pm 0.04$   $\mu\text{l/g/min}$  in highly vascular zones) [Fig. 1F]. Thus, loss of *p53* function has a profound effect on the neovascularization and growth of human colorectal cancer xenografts in nude mice.

*Effect of p53 genotype on hypoxia-induced VEGF expression and HIF-1 activity*

Hypoxia-induced, HIF-1-mediated expression of *VEGF* stimulates angiogenesis and vascular permeability in neoplastic tissues [Plate et al. 1992; Shweiki et al. 1992; Forsythe et al. 1996; Maxwell et al. 1997; Carmeliet et al. 1998]. *p53*<sup>+/+</sup> and *p53*<sup>-/-</sup> HCT116 cells were analyzed for expression of *VEGF* mRNA and protein under tissue culture conditions simulating the hypoxic tumor microenvironment. Following exposure to 1% O<sub>2</sub>, *p53*<sup>-/-</sup> cells exhibited a greater induction of *VEGF* mRNA and protein compared with their *p53*<sup>+/+</sup> counterparts [Fig. 2A,B]. Transcriptional activation of the *VEGF* gene in response to hypoxia is mediated by binding of HIF-1 to a 47-bp

Ravi et al.



**Figure 1.** Effect of p53 genotype on tumor growth and angiogenesis. *[A]* Growth of p53<sup>+/+</sup> (blue) and p53<sup>-/-</sup> (red) HCT116 cells cultured in DMEM supplemented with 10% fetal calf serum at 37°C and 95% air/5% CO<sub>2</sub>. *[B, C]* Growth of p53<sup>+/+</sup> (blue) and p53<sup>-/-</sup> (red) HCT116 xenografts [2.5 × 10<sup>4</sup> (▲) or 2.5 × 10<sup>5</sup> (■) cells] injected subcutaneously into right (p53<sup>+/+</sup>) or left (p53<sup>-/-</sup>) hind legs of athymic BALB/c (nu/nu) mice. Values expressed represent mean ± S.E. of 12 xenografts of each cell type. *[D]* Histologic analysis of blood vessels in p53<sup>+/+</sup> and p53<sup>-/-</sup> HCT116 xenograft tumors by staining with H&E or immunoperoxidase detection of endothelial cells using an anti-vWF antibody (×25). *[E]* Quantification of blood vessel density in p53<sup>+/+</sup> (blue) and p53<sup>-/-</sup> (red) xenografts. The data represent the mean ± S.E. of the frequency of vessel hits among 300 random sampling points from each of three tumors of either genotype. *[F]* Representative NMR analysis of in vivo vascular volume (right) and permeability (left) of p53<sup>+/+</sup> and p53<sup>-/-</sup> (bottom) HCT116 xenografts.

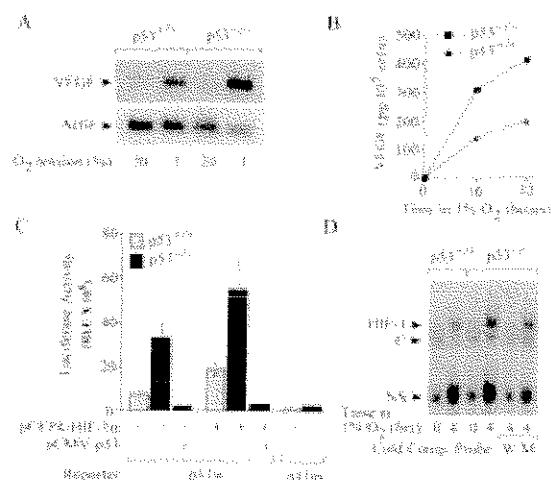
hypoxia-response element in the 5' flanking region, and a reporter plasmid containing this sequence (VEGF-p11w) is transactivated by cotransfection of an expression vector encoding HIF-1 $\alpha$  (pCEP4/HIF-1 $\alpha$ ) (Forsythe et al. 1996). To examine whether p53 influences HIF-1-mediated transcriptional activation of VEGF, p53<sup>+/+</sup> and p53<sup>-/-</sup> cells were cotransfected with the VEGF-p11w reporter and CMV $\beta$ gal [encoding  $\beta$ -galactosidase ( $\beta$ -gal)]. Analyses of luciferase and  $\beta$ -gal activity in response to hypoxia (1% O<sub>2</sub>) revealed a fourfold greater increase in VEGF-p11w transcription (relative to  $\beta$ -gal) in p53<sup>-/-</sup> cells compared with p53<sup>+/+</sup> cells (Fig. 2C). These differences were not seen when the reporter contained a 3-bp substitution in the hypoxia response element that eliminated HIF-1 binding (VEGF-p11m), suggesting that HIF-1 was a target for p53-mediated inhibition. Coexpression of pCEP4/HIF-1 $\alpha$  in p53<sup>+/+</sup> cells increased hypoxia-induced activation of VEGF-p11w to levels that approached the reporter activity exhibited by hypoxic p53<sup>-/-</sup> cells in the absence of exogenous HIF-1 $\alpha$  (Fig. 2C). Conversely, cotransfection of an expression vector encoding wild-type human p53 into p53<sup>-/-</sup> cells completely repressed hypoxia-induced VEGF-p11w expression (Fig. 2C). Electrophoretic mobility shift assays demonstrated that hypoxia-induced HIF-1 DNA-binding

activity was reduced in p53<sup>+/+</sup> cells compared with p53<sup>-/-</sup> cells (Fig. 2D). The specificity of binding of HIF-1 to DNA was confirmed by competing hypoxia-induced DNA-protein complexes with excess unlabeled wild-type probe but not with an unlabeled mutant probe containing the same 3-bp substitution in the HIF-1 binding site as in reporter VEGF-p11m. Thus, p53 inhibits HIF-1 activity and VEGF expression in response to hypoxia.

#### Effect of p53 on oxygen-regulated expression and stability of HIF-1 $\alpha$

Hypoxia-induced HIF-1 DNA-binding and transcriptional activity are dependent on increased levels of HIF-1 $\alpha$  protein and its heterodimerization with HIF-1 $\beta$  (Wang and Semenza 1993; Wang et al. 1995; Jiang et al. 1996; Huang et al. 1998). To investigate whether p53 influences HIF-1 activity by altering expression of HIF-1 $\alpha$ , the levels of HIF-1 $\alpha$  protein and mRNA were assessed in p53<sup>+/+</sup> and p53<sup>-/-</sup> cells exposed to either 20% or 1% O<sub>2</sub>. In response to hypoxia, p53<sup>-/-</sup> HCT116 cells or mouse embryonic fibroblasts (MEFs) expressed higher levels of HIF-1 $\alpha$  protein compared with their p53<sup>+/+</sup> counterparts (Fig. 3A,B). In contrast to HIF-1 $\alpha$  protein levels, HIF-1 $\alpha$  mRNA was expressed at equivalent levels

## Role of p53 and HIF-1 in tumor angiogenesis



**Figure 2.** Effect of p53 genotype on hypoxia-induced VEGF expression and HIF-1 activity. **(A)** Northern blot analysis of VEGF mRNA expression in p53<sup>+/+</sup> and p53<sup>-/-</sup> HCT116 cells incubated for 16 hr in either 20% or 1% O<sub>2</sub>. **(B)** ELISA of VEGF protein concentration in supernatant medium of p53<sup>+/+</sup> (blue ▲) or p53<sup>-/-</sup> (red ■) HCT116 cells incubated for 16–32 hr in 1% O<sub>2</sub>. **(C)** Hypoxia-induced and HIF-1-dependent activation of VEGF-reporter activity in p53<sup>+/+</sup> (shaded bars) and p53<sup>-/-</sup> (solid bars) HCT116 cells. Wild-type (p11w) and mutant (p11m) copies of the hypoxia response element from the VEGF gene were inserted 5' to a SV40 promoter–luciferase transcription unit. Cells were cotransfected with either VEGF-p11w or VEGF-p11m and CMV $\beta$ gal, with or without pCEP4/HIF-1 $\alpha$  or pCMV-p53, exposed to 1% O<sub>2</sub> for 20 hr, and harvested for luciferase assays. The data represent the mean  $\pm$  S.E. luciferase activity (normalized for  $\beta$ -gal activity) from three independent experiments. **(D)** Electrophoretic mobility shift assays of HIF-1 DNA-binding activity in nuclear extracts from p53<sup>+/+</sup> and p53<sup>-/-</sup> HCT116 cells exposed to 20% (lanes 1 and 3) or 1% (lanes 2 and 4–6) O<sub>2</sub>. HIF-1 DNA binding was confirmed by competition assays using either unlabeled wild-type oligonucleotide (W) or a mutant oligonucleotide (M) containing the same 3-bp substitution as in p11m. Complexes containing HIF-1, constitutive (C), and non-specific (NS) DNA-binding activities (Semenza and Wang 1992) are indicated.

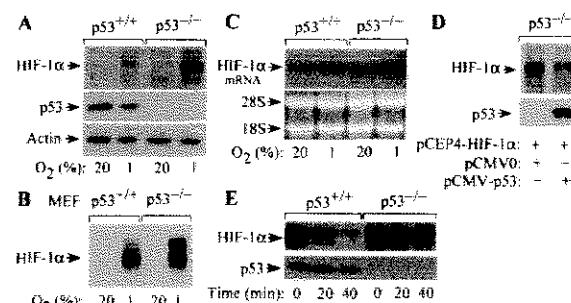
in hypoxic p53<sup>+/+</sup> and p53<sup>-/-</sup> cells (Fig. 3C), suggesting an effect of p53 on HIF-1 $\alpha$  protein expression. To confirm this effect, p53<sup>-/-</sup> cells were cotransfected with pCEP4-HIF-1 $\alpha$  and either pCMV-p53 (encoding wild-type human p53) or empty vector (pCMV0) and exposed to 1% O<sub>2</sub> for 8 hr. Immunoblot analysis showed that p53<sup>-/-</sup> cells cotransfected with pCMV-p53 exhibited reduced levels of HIF-1 $\alpha$  compared with cells receiving the control vector (Fig. 3D).

The steady state level of HIF-1 $\alpha$  protein is regulated by an oxygen-dependent and iron-sensitive mechanism of ubiquitin-mediated proteasomal degradation (Salceda and Caro 1997; Huang et al. 1998; Kallio et al. 1999). The 20S proteasome is the core catalytic subunit of the 26S proteasome complex that mediates degradation of ubiquitin-tagged proteins (for review, see Hershko and Ciechanover 1998). HIF-1 $\alpha$  expression is induced by exposure to hypoxia or treatment with cobalt chloride

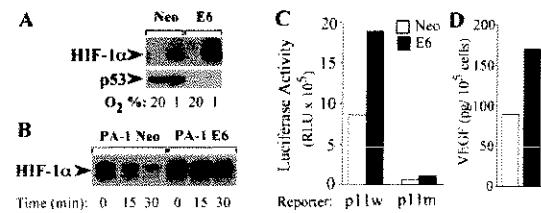
(Wang et al. 1995). To examine whether p53 influences the stability of HIF-1 $\alpha$  protein, HIF-1 $\alpha$  expression was analyzed in lysates of cobalt-treated p53<sup>+/+</sup> and p53<sup>-/-</sup> cells at serial time intervals following addition of cycloheximide. HIF-1 $\alpha$  protein decayed with a half-life of <20 min in p53<sup>+/+</sup> cells, compared with >40 min in p53<sup>-/-</sup> cells (Fig. 3E).

#### HPV-E6 augments HIF-1 $\alpha$ stability and VEGF expression in response to hypoxia

The human papilloma virus (HPV16) E6 oncoprotein promotes ubiquitin-dependent conjugation and degradation of p53 (Scheffner et al. 1990). To investigate whether E6-induced degradation of endogenous p53 promotes expression of HIF-1 $\alpha$  and induction of VEGF, the PA-1 ovarian teratocarcinoma cell line was stably transfected with an expression vector encoding HPV-16 E6 (PA-1 E6) or empty vector (PA-1 Neo) (Ravi et al. 1998). Under hypoxic conditions, PA-1 E6 cells expressed higher levels of HIF-1 $\alpha$  protein compared with PA-1 Neo cells (Fig. 4A). Analyses of HIF-1 $\alpha$  protein stability in cycloheximide-treated cells showed that HIF-1 $\alpha$  protein decayed with a half-life of ~15 min in PA-1 cells, compared with >30 min in PA-1 E6 cells (Fig. 4B). PA-1 Neo or PA-1 E6 cells were cotransfected with either VEGF-p11w or VEGF-p11m reporter and CMV $\beta$ gal. Analyses of luciferase and  $\beta$ -gal activity in response to hypoxia (1% O<sub>2</sub>) revealed a twofold greater increase in VEGF-p11w transcription (relative to  $\beta$ -gal) in PA-1 E6 cells compared



**Figure 3.** Effect of p53 on oxygen-regulated expression and stability of HIF-1 $\alpha$ . **(A)** Immunoblot analysis of HIF-1 $\alpha$  expression in p53<sup>+/+</sup> and p53<sup>-/-</sup> HCT116 cells cultured for 8 hr in 20% or 1% O<sub>2</sub>. The blot was analyzed sequentially with monoclonal antibodies against HIF-1 $\alpha$  (H1 $\alpha$ 67), p53 (DO-1), and  $\beta$ -actin. **(B)** Immunoblot analysis of HIF-1 $\alpha$  expression in p53<sup>+/+</sup> and p53<sup>-/-</sup> MEFs cultured for 8 hr in 20% or 1% O<sub>2</sub>. **(C)** Northern blot analysis of HIF-1 $\alpha$  mRNA expression in p53<sup>+/+</sup> and p53<sup>-/-</sup> HCT116 cells cultured as in A. **(D)** Immunoblot analysis of HIF-1 $\alpha$  protein in p53<sup>-/-</sup> HCT116 cells cultured in 1% O<sub>2</sub> for 8 hr following cotransfection with pCEP4-HIF-1 $\alpha$  and either pCMV-p53 or empty vector. The blot was analyzed sequentially with anti-HIF-1 $\alpha$  and anti-p53 monoclonal antibodies. **(E)** Half-life of HIF-1 $\alpha$  protein in p53<sup>+/+</sup> and p53<sup>-/-</sup> cells exposed to 100  $\mu$ M cobalt chloride following addition of 100  $\mu$ M cycloheximide. Lysates of cells harvested at the indicated time intervals were subject to immunoblot analysis of HIF-1 $\alpha$  and p53 expression.



**Figure 4.** HPV E6 increases expression of HIF-1 $\alpha$  and VEGF in response to hypoxia. *(A)* Immunoblot analysis of HIF-1 $\alpha$  expression in PA-1 Neo or PA-1 E6 cells cultured for 8 hr in 20% or 1% O<sub>2</sub>. *(B)* Half-life of HIF-1 $\alpha$  protein in PA-1 Neo or PA-1 E6 cells exposed to 100  $\mu$ M cobalt chloride following addition of 100  $\mu$ M cycloheximide. Lysates of cells harvested at the indicated time intervals were subject to immunoblot analysis of HIF-1 $\alpha$  expression. *(C)* Hypoxia-induced and HIF-1-dependent activation of VEGF-reporter activity in PA-1 Neo (open bars) and PA-1 E6 (solid bars) cells. Cells were cotransfected with either VEGF-p11w or VEGF-p11m and CMV $\beta$ gal, exposed to 1% O<sub>2</sub> for 20 hr, and harvested for luciferase assays. The data represent the mean luciferase activity (normalized for  $\beta$ -gal activity) from three independent experiments. *(D)* ELISA of VEGF protein concentration in supernatant medium of PA-1 Neo (open bar) or PA-1 E6 (solid bar) cells incubated for 16 hr in 1% O<sub>2</sub>.

with PA-1 Neo cells (Fig. 4C). Neither cell line exhibited significant transcription of the VEGF-p11m reporter. Consistent with the promotion of HIF-1-dependent VEGF transcription by E6 expression, exposure to 1% O<sub>2</sub> resulted in greater induction of VEGF protein expression in PA-1 E6 cells compared with PA-1 Neo cells (Fig. 4D).

#### *p53 promotes ubiquitin-dependent of HIF-1 $\alpha$*

To determine whether p53 interacts with HIF-1 $\alpha$  in HCT116 cells, as previously demonstrated in MCF-7 cells (An et al. 1998), protein lysates from hypoxic p53<sup>+/+</sup> and p53<sup>-/-</sup> cells were immunoprecipitated with an anti-p53 or isotype control antibody, and the resulting immune complexes were subjected to immunoblot assays using an antibody against HIF-1 $\alpha$ . HIF-1 $\alpha$  was detected in immunoprecipitates derived from p53<sup>+/+</sup> cells but not p53<sup>-/-</sup> cells or immune complexes precipitated with the control antibody (Fig. 5A).

To determine whether p53 promotes ubiquitination of HIF-1 $\alpha$ , p53<sup>+/+</sup> and p53<sup>-/-</sup> cells were cotransfected with an HIF-1 $\alpha$  expression vector [pCEP4/HIF-1 $\alpha$ ] and a vector encoding hexahistidine-tagged ubiquitin (His<sub>6</sub>-Ub) or the empty control vectors. Transfected cells were exposed to 1% O<sub>2</sub> for 4 hr in the presence of MG132, a peptide aldehyde inhibitor of the 20S proteasome. Aliquots of whole-cell extracts or His-tagged proteins isolated by affinity purification from cell lysates were subjected to immunoblot assays using an anti-HIF-1 $\alpha$  monoclonal antibody (Fig. 5B). Immunoblot analysis of whole cell extracts of p53<sup>+/+</sup> cells detected a 120-kD protein corresponding to the apparent molecular mass of HIF-1 $\alpha$  (Wang et al. 1995), as well as an additional series of slower migrating complexes. The higher molecular weight complexes represented polyubiquitinated forms

of HIF-1 $\alpha$  as they were also detected by immunoblot analysis of His-tagged proteins with an anti-HIF-1 $\alpha$  monoclonal antibody. Compared with p53<sup>+/+</sup> cells, p53<sup>-/-</sup> cells transfected with vectors encoding HIF-1 $\alpha$  and His<sub>6</sub>-Ub demonstrated a higher level of unconjugated HIF-1 $\alpha$  and a reciprocal reduction in polyubiquitinated HIF-1 $\alpha$  (Fig. 5B). Introduction of a p53 expression vector (pCMV-p53) into p53<sup>-/-</sup> cells increased the proportion of HIF-1 $\alpha$  that was ubiquitinated under hypoxic conditions (Fig. 5B).

Conjugation of Ub to proteins destined for degradation involves conversion of Ub to a high-energy thiol ester by the E1 Ub-activating enzyme followed by the transfer of activated Ub to the substrate via the activity of an E2 Ub-conjugating enzyme and an E3 Ub-protein ligase (for review, see Hershko and Ciechanover 1998). To confirm the requirement of the Ub-proteasome system for p53-mediated degradation of HIF-1 $\alpha$ , we examined the effect of p53 on hypoxia-induced HIF-1 $\alpha$  expression in the BALB/c 3T3-derived ts20TG<sup>R</sup> cell line, which harbors a thermolabile E1, or a derivative cell line (H38-5), in which the temperature-sensitive defect was corrected by introduction of the human E1 cDNA (Chowdary et al. 1994). ts20TG<sup>R</sup> and H38-5 cells were transfected with either an expression vector encoding human p53 or a control vector and transferred to hypoxic chambers (1% O<sub>2</sub>) at either the permissive temperature (35°C) or the restrictive temperature (39°C). Transfection of p53 into ts20TG<sup>R</sup> cells resulted in reduced HIF-1 $\alpha$  levels at 35°C but not at 39°C (Fig. 5C). However, E1-expressing H38-5 cells exhibited p53-mediated reduction of HIF-1 $\alpha$  levels at both temperatures. Taken together, the data indicate that p53 limits hypoxia-induced expression of HIF-1 $\alpha$  by promoting its ubiquitination and proteasomal degradation.

Whereas a single E1 is responsible for activation of ubiquitin, multiple E3 enzymes are responsible for specific selection of proteins destined for degradation. Because p53 induces the Mdm2 E3 Ub-protein ligase and is itself a target for Ub-mediated degradation via its interaction with Mdm2 (Momand et al. 1992; Barak et al. 1993; Wu et al. 1993; Haupt et al. 1997; Honda et al. 1997; Kubbutat et al. 1997), this raised the possibility that HIF-1 $\alpha$  is recruited to Mdm2 via its interaction with p53. To test this hypothesis, protein lysates of p53<sup>-/-</sup> HCT116 cells that were transfected with either pCMV-p53 or empty vector and transferred to 1% O<sub>2</sub> for 6 hr were immunoprecipitated with anti-Mdm2 or isotype control antibody, and the resulting immune complexes were subjected to immunoblot assays using an antibody against HIF-1 $\alpha$ . Anti-Mdm2 immunoprecipitates derived from cells transfected with p53 displayed significantly higher levels of coprecipitated HIF-1 $\alpha$  protein compared to immune complexes derived from p53<sup>-/-</sup> HCT116 cells with the empty vector (Fig. 5D).

Amino acid residues Phe-19, Leu-22, and Trp-23 in the amino-terminal transactivation domain of p53 are critical for its interaction with Mdm2 (Lin et al. 1994). A p53 double mutant at residues 22 and 23 (p53 Gln22, Ser23) fails to interact with Mdm2 and is also transactivation

# Regulation of death receptor expression and TRAIL/Apo2L-induced apoptosis by NF- $\kappa$ B

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**TRAIL (tumour-necrosis factor-related apoptosis ligand or Apo2L) triggers apoptosis through engagement of the death receptors TRAIL-R1 (also known as DR4) and TRAIL-R2 (DR5). Here we show that the c-Rel subunit of the transcription factor NF- $\kappa$ B induces expression of TRAIL-R1 and TRAIL-R2; conversely, a transdominant mutant of the inhibitory protein I $\kappa$ B $\alpha$  or a transactivation-deficient mutant of c-Rel reduces expression of either death receptor. Whereas NF- $\kappa$ B promotes death receptor expression, cytokine-mediated activation of the RelA subunit of NF- $\kappa$ B also increases expression of the apoptosis inhibitor, Bcl-x $_L$ , and protects cells from TRAIL. Inhibition of NF- $\kappa$ B by blocking activation of the I $\kappa$ B kinase complex reduces Bcl-x $_L$  expression and sensitizes tumour cells to TRAIL-induced apoptosis. The ability to induce death receptors or Bcl-x $_L$  may explain the dual roles of NF- $\kappa$ B as a mediator or inhibitor of cell death during immune and stress responses.**

**A**poptosis has an essential role in embryogenesis, adult tissue homeostasis and the cellular response to stressful stimuli, such as DNA damage, hypoxia or aberrations in cell-cycle progression<sup>1</sup>. Increased apoptosis is involved in the pathogenesis of diverse ischaemic, degenerative and immune disorders<sup>2</sup>. Conversely, genetic aberrations that render cells incapable of executing their suicide program promote tumorigenesis and underlie the observed resistance of human cancers to genotoxic anticancer agents<sup>3</sup>. Unravelling mechanisms to unleash the apoptotic program in tumour cells might aid the design of effective therapeutic interventions against resistant human cancers.

The molecular machinery of cell death comprises an evolutionarily conserved family of cysteine aspartate proteases (caspases)<sup>4</sup>. Caspases can be activated by the engagement of death receptors belonging to the tumour-necrosis factor (TNF) receptor gene superfamily<sup>5</sup>, such as TNFR1, CD95 (Fas), TRAIL-R1 (DR4)<sup>6</sup> and TRAIL-R2 (DR5, TRICK2, KILLER)<sup>7-13</sup>, by their respective cognate 'death ligands', TNF- $\alpha$ , CD95L (Apo1L) and TRAIL (also known as Apo2L)<sup>14,15</sup>. TRAIL induces apoptosis in several tumour cell lines, including those that resist chemotherapeutic agents or ionizing radiation because of inactivating mutations of the p53 tumour suppressor gene<sup>16-20</sup>.

TRAIL-R1 and TRAIL-R2 are type I transmembrane proteins containing cytoplasmic sequences, termed 'death domains', that recruit adaptor proteins and activate caspases<sup>16</sup>. Two other TRAIL receptors, TRAIL-R3 (TRID/DcR1) and TRAIL-R4 (TRUNDD/DcR2), have extracellular domains similar to TRAIL-R1 and TRAIL-R2, but lack a functional cytoplasmic death domain<sup>7,8,21-24</sup>. TRAIL-R3 and TRAIL-R4 may serve as 'decoys' that compete with TRAIL-R1/TRAIL-R2 for binding to TRAIL, and overexpression of either protein confers protection against TRAIL-induced death<sup>7,8</sup>.

The NF- $\kappa$ B family of dimeric transcription factors is important in modulating cell survival during stress and immune responses<sup>25</sup>. NF- $\kappa$ B protects cells from apoptosis<sup>26-31</sup> by promoting expression of survival factors, such as members of the inhibitor of apoptosis

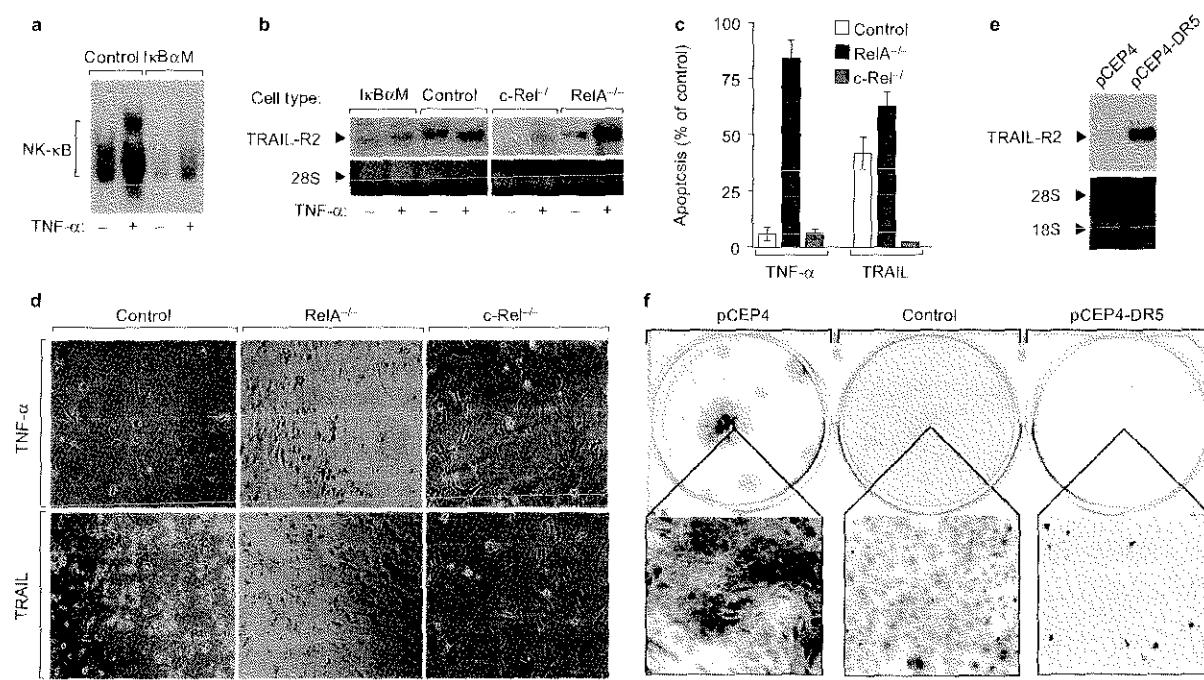
(IAP) family (c-IAP1, c-IAP2, XIAP)<sup>32</sup> and the Bcl-2 homologues, Bfl-1/A1 (refs 33, 34) and Bcl-x $_L$  (ref. 35). In contrast, much evidence highlights an apparently paradoxical pro-apoptotic role for NF- $\kappa$ B<sup>36-39</sup>. These observations raise the possibility that  $\kappa$ B sites in pro- or anti-apoptotic genes may exhibit different preferences for particular subunits comprising the NF- $\kappa$ B dimer, and that NF- $\kappa$ B may have signal-specific effects on cell survival.

Here we show that the RelA and c-Rel subunits of NF- $\kappa$ B are critical determinants of the expression of death receptors and survival genes that modulate TRAIL-induced apoptosis. The signal-specific activation of dimers that induce expression of either death receptors or survival genes might explain how NF- $\kappa$ B adopts either of its dual personalities as a mediator or inhibitor of cell death during immune and cellular stress responses. The identification of NF- $\kappa$ B as a key determinant of cellular susceptibility to TRAIL may have important implications for anticancer therapy.

## Results

Subunit-specific effects of NF- $\kappa$ B on death receptor expression and on sensitivity to TRAIL. NF- $\kappa$ B exists in almost all cell types in an inactive cytoplasmic complex with an inhibitory protein, I $\kappa$ B. Signal-dependent phosphorylation and ubiquitin-mediated degradation of I $\kappa$ B by I $\kappa$ B kinases (IKKs) releases the active complex, which functions in transcriptional regulation of target genes after nuclear translocation<sup>25</sup>. Trimerization of TNFR1 by TNF- $\alpha$  leads to degradation of I $\kappa$ B and activation of NF- $\kappa$ B. Mouse embryonic fibroblasts (MEFs) stably transduced with a retrovirus carrying a combined amino- (residues 32 and 36) and carboxy-terminal PEST sequence phosphorylation mutant of I $\kappa$ B $\alpha$  (I $\kappa$ B $\alpha$ M)<sup>28</sup> show reduced basal and TNF- $\alpha$ -inducible  $\kappa$ B DNA-binding activity and lower expression of TRAIL-R2 messenger RNA compared with wild-type MEFs carrying a control vector (Fig. 1a, b).

The subunits of NF- $\kappa$ B are known to exhibit different preferences for variations of the 10-base-pair (bp) consensus sequence



**Figure 1 Subunit-specific effects of NF-κB on death receptor expression and sensitivity to TRAIL.** **a**, Inhibition of NF-κB by a transdominant mutant IκBα (IκBαM). MEFs stably transduced with a plasmid encoding IκBαM and the empty vector pLXSN<sup>28</sup> (control) were incubated with TNF-α (100 ng ml<sup>-1</sup>, 1 h) or left untreated. Nuclear extracts were analysed for NF-κB DNA-binding activity by EMSA. **b**, Basal and TNF-α-inducible expression of TRAIL-R2 mRNA in RelA<sup>-/-</sup>, c-Rel<sup>-/-</sup>, IκBαM-expressing, and wild-type mouse fibroblasts carrying an empty vector (control). **c**, **d**, Effect of deficiency of either RelA or c-Rel on TNF-α- or TRAIL-induced cell death. RelA<sup>-/-</sup>, c-Rel<sup>-/-</sup> and wild-type mouse fibroblasts were exposed to either TNF-α (100 ng ml<sup>-1</sup>) or recombinant human TRAIL (100 ng ml<sup>-1</sup>; with enhancer antibody) for 24 h. Data (mean  $\pm$  s.d.) shown in **c** are the percentage of apoptotic nuclei among total nuclei counted ( $n = 3$ ). Representative photomicrographs illustrating the cytotoxicity of TRAIL are shown in **d**. **e**, Expression of TRAIL-R2 in c-Rel<sup>-/-</sup> mouse fibroblasts transfected with either pCEP4-DR5 or empty pCEP4 vector. **f**, Susceptibility of c-Rel-deficient cells to TRAIL-R2-induced death. Photomicrographs depict crystal-violet-stained colonies of c-Rel<sup>-/-</sup> mouse fibroblasts selected for growth in hygromycin B after transfection with either pCEP4-DR5 or empty pCEP4 vector. Cells from an untransfected control population were maintained in hygromycin-free media (control). Similar observations were made in RelA<sup>-/-</sup> and wild type mouse fibroblasts (data not shown).

body) for 24 h. Data (mean  $\pm$  s.d.) shown in **c** are the percentage of apoptotic nuclei among total nuclei counted ( $n = 3$ ). Representative photomicrographs illustrating the cytotoxicity of TRAIL are shown in **d**. **e**, Expression of TRAIL-R2 in c-Rel<sup>-/-</sup> mouse fibroblasts transfected with either pCEP4-DR5 or empty pCEP4 vector. **f**, Susceptibility of c-Rel-deficient cells to TRAIL-R2-induced death. Photomicrographs depict crystal-violet-stained colonies of c-Rel<sup>-/-</sup> mouse fibroblasts selected for growth in hygromycin B after transfection with either pCEP4-DR5 or empty pCEP4 vector. Cells from an untransfected control population were maintained in hygromycin-free media (control). Similar observations were made in RelA<sup>-/-</sup> and wild type mouse fibroblasts (data not shown).

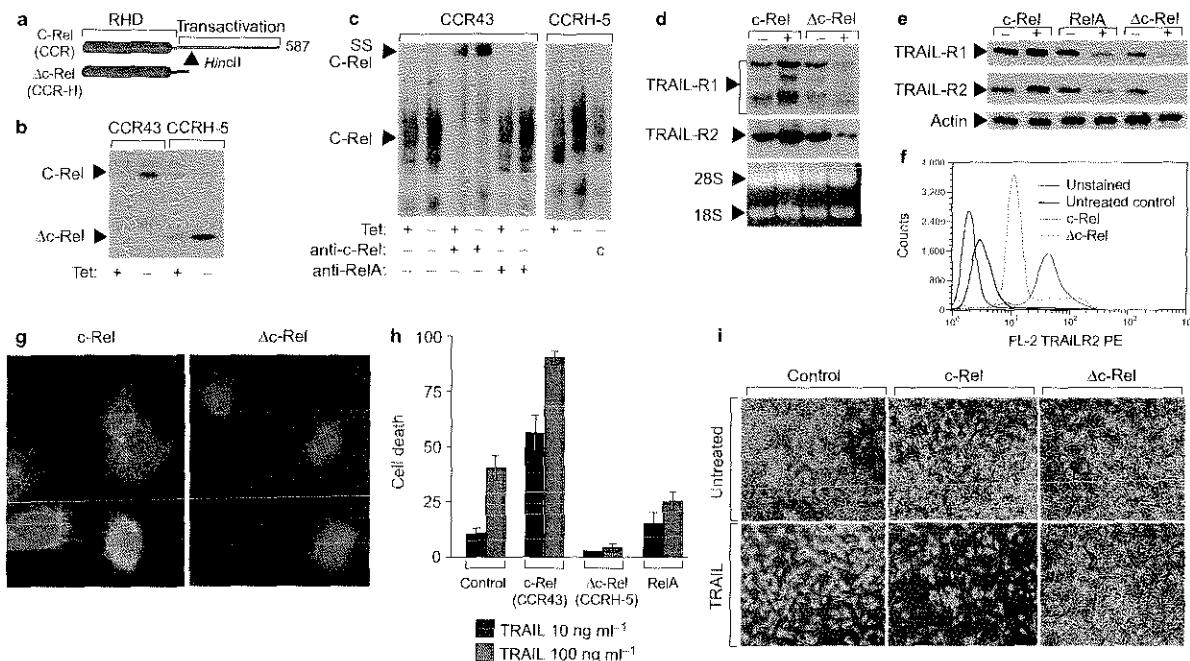
(5'-GGGGYNNCCY-3') in particular target genes<sup>35</sup>. We therefore analysed the role of specific subunit(s) of NF-κB on expression of TRAIL-R2 mRNA levels in RelA-deficient (RelA<sup>-/-</sup>)<sup>26</sup>, c-Rel-deficient (c-Rel<sup>-/-</sup>)<sup>30</sup> and wild-type mouse fibroblasts. Whereas TNF-α-inducible expression of TRAIL-R2 mRNA was evident in RelA<sup>-/-</sup> fibroblasts, this induction was markedly diminished in c-Rel<sup>-/-</sup> fibroblasts (Fig. 1b).

We distinguished the effects of RelA and c-Rel on cell survival by examining the response of RelA<sup>-/-</sup>, c-Rel<sup>-/-</sup> or wild-type mouse fibroblasts to either TNF-α or TRAIL. RelA<sup>-/-</sup> fibroblasts were highly sensitive to TNF-α-mediated cell death, but c-Rel<sup>-/-</sup> fibroblasts, akin to their wild-type counterparts, remained relatively resistant to such treatment (Fig. 1c, d). Whereas RelA<sup>-/-</sup> and wild-type fibroblasts were both susceptible to TRAIL-induced apoptosis, c-Rel<sup>-/-</sup> fibroblasts were almost completely resistant to TRAIL (Fig. 1c, d). c-Rel<sup>-/-</sup> cells were resistant to TRAIL, but they failed to yield any viable clones after transfection with an expression vector encoding TRAIL-R2 (pCEP4/DR5)<sup>10</sup> (Fig. 1e, f). The resistance of c-Rel<sup>-/-</sup> cells to TRAIL-induced death seems therefore to result from their deficiency in death receptor expression rather than inhibition of intracellular death signalling. These results suggest that, in contrast to the protection conferred by RelA against TNF-α-induced death, c-Rel mediates the inducible expression of death receptors for TRAIL.

NF-κB c-Rel contains an N-terminal 300-residue conserved region known as the Rel homology domain (RHD), which mediates dimerization and nuclear localization, and a variable C-terminal

domain, which is responsible for transactivation. To examine directly the effect of c-Rel or RelA on death receptor expression and sensitivity to TRAIL, c-Rel (CCR), a c-Rel truncation mutant lacking the C-terminal transactivation domain (Δc-Rel; CCR-H) or RelA were conditionally expressed in HeLa cells using a tetracycline-regulated system<sup>33,41</sup> (Fig. 2a). The c-Rel, truncated c-Rel or RelA genes were expressed under control of the tTA fusion activator, comprising the *Escherichia coli* tetracycline repressor and the activation domain of the VP16 protein of herpes simplex virus. Stable cell clones carrying either c-Rel (CCR43) or Δc-Rel (CCR-H5) were subjected to immunoblot analysis using an antibody against the RHD of chicken c-Rel. Removing tetracycline from the culture medium for 48 h resulted in induction of either c-Rel in CCR43 cells or the faster migrating Δc-Rel mutant in CCR-H5 cells (Fig. 2b).

Electrophoretic mobility shift assays with double-stranded oligonucleotides containing a palindromic κB site were performed using nuclear protein derived from CCR43 or CCR-H5 cells maintained in the presence or absence of tetracycline for 48 h. CCR43 cells showed increased κB DNA-binding activity in response to withdrawal of tetracycline, and the DNA-bound complex was supershifted with an anti-c-Rel antibody but not with an antibody against RelA (Fig. 2c). Although the inducible c-Rel is active in binding c-Rel-responsive κB motifs, the transactivation-deficient mutant Δc-Rel competes with endogenous c-Rel for κB binding, thereby behaving in a dominant-negative manner (Fig. 2c)<sup>33,41</sup>.



**Figure 2 Effect of inducible expression of c-Rel,  $\Delta$ c-Rel or RelA on death receptor expression and sensitivity to TRAIL.** **a**, Representation of full-length c-Rel (CCR) and  $\Delta$ c-Rel (CCR-H), a c-Rel mutant that contains a stop codon at the unique HindIII site of c-Rel. **b**, Immunoblot analyses of expression of c-Rel and  $\Delta$ c-Rel in HeLa (HT1080) cell clones stably transfected with c-Rel (CCR43) or  $\Delta$ c-Rel (CCR-H5), respectively (in the presence or absence of tetracycline for 48 h). **c**, EMSA of c-Rel-specific DNA-binding activity in nuclear extracts of CCR43 and CCR-H5 cells maintained in the presence or absence of tetracycline (Tet) for 48 h. Supershift (SS) analysis of DNA–protein complexes was performed with anti-c-Rel and anti-RelA antibodies. Competition of the  $\Delta$ c-Rel-induced DNA–protein complex with unlabelled c-Rel-specific oligonucleotides is shown (lane 'c'). **d**, Northern blot analyses of TRAIL-R1 and TRAIL-R2 mRNA in cells maintained in the presence (uninduced, -) or absence (induced, +) of tetracycline for 48 h. **e**, Western blot analyses of the effect of induced expression of c-Rel or  $\Delta$ c-Rel on expression of TRAIL-R1 and TRAIL-R2.

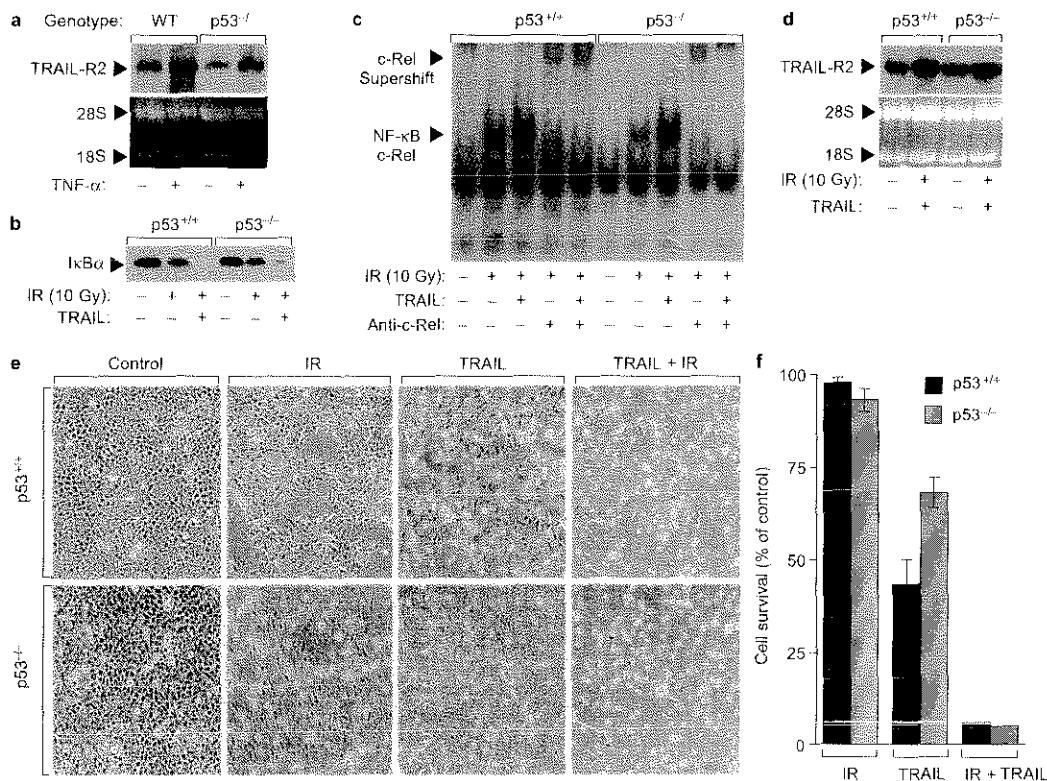
protein. **f**, Flow cytometric analysis of the effect of induced expression of c-Rel or  $\Delta$ c-Rel on IR-induced cell surface expression of TRAIL-R2 in HeLa cells. (Unstained controls received secondary antibody alone; untreated controls received no IR.) **g**, Confocal microscopic examination of TRAIL-R2 immunofluorescence in HeLa cells induced to express either c-Rel or  $\Delta$ c-Rel for 48 h. **h**, HeLa (HT1080) cell clones stably transfected with either c-Rel (CCR43),  $\Delta$ c-Rel (CCR-H5), or RelA were maintained in the absence of tetracycline for 48 h (to induce gene expression) and then exposed to TRAIL (10–100 ng ml<sup>-1</sup>; enhancer antibody 2  $\mu$ g ml<sup>-1</sup>) or left untreated for another 24 h. Data represent the percentage survival (viable/lapoptotic + viable) in each cell population (mean  $\pm$  s.d.) from three independent experiments. **i**, Representative photomicrographs illustrating the cytotoxic effects of TRAIL (100 ng ml<sup>-1</sup>) on c-Rel (CCR43) cells maintained in the presence of tetracycline (control), or in c-Rel (CCR43) and  $\Delta$ c-Rel (CCR-H5) cells induced to express c-Rel or  $\Delta$ c-Rel, respectively, by culture in tetracycline-free medium for 48 h.

Northern blot analysis showed that c-Rel promotes the expression of death receptors at a transcriptional level, but  $\Delta$ c-Rel interferes with this induction (Fig. 2d). Induction of c-Rel in CCR43 cells resulted in increased protein expression of both TRAIL-R1 (2.2-fold induction relative to an actin control) and TRAIL-R2 (2.6-fold induction) (Fig. 2e). In contrast, induction of the dominant-negative transactivation mutant  $\Delta$ c-Rel in CCR-H5 cells inhibited protein expression of either TRAIL-R1 (2.4-fold repression) or TRAIL-R2 (3.2-fold repression) (Fig. 2e). Flow cytometric analyses confirmed that inducible expression of cell-surface TRAIL-R2 was greater in cells expressing c-Rel compared with cells expressing  $\Delta$ c-Rel (Fig. 2f). Confocal microscopy showed relatively greater immunofluorescent labelling of TRAIL-R2 in the cytoplasm of cells induced to express c-Rel compared with cells forced to express  $\Delta$ c-Rel (Fig. 2g).

Induction of c-Rel by removing tetracycline resulted in a dose-dependent increase in the sensitivity of CCR43 cells to TRAIL-induced death (Fig. 2h, i). By contrast, expression of  $\Delta$ c-Rel by removing tetracycline in CCR-H5 cells rendered these cells relatively resistant to TRAIL (Fig. 2h, i). Consistent with its induction of survival factors, induced expression of RelA reduced sensitivity to TRAIL (Fig. 2h).

**NF- $\kappa$ B-induces expression of TRAIL-R2 and TRAIL-mediated tumour cell radiosensitization independently of p53.** The cellular response to DNA damage inflicted by genotoxic anticancer agents is modulated by the product of the p53 tumour suppressor gene—a transcription factor that promotes expression of TRAIL-R2/DR5 (ref. 10). As NF- $\kappa$ B has been implicated in p53-mediated cell death<sup>38</sup>, we thought that p53 might be required for NF- $\kappa$ B-induced expression of TRAIL-R2. We therefore examined the effect of p53 genotype on the basal, TNF- $\alpha$ - and DNA-damage-induced activation of NF- $\kappa$ B and expression of death receptors in isogenic cell lines that differ only in p53 status.

The effect of TNF- $\alpha$  on expression of TRAIL-R2/DR5 was examined in MEFs of wild-type and p53<sup>-/-</sup> genotypes. Expression of TRAIL-R2/DR5 was impaired in c-Rel<sup>-/-</sup> cells (Fig. 1b), but p53<sup>-/-</sup> cells exhibited normal basal and TNF- $\alpha$ -inducible expression of TRAIL-R2 mRNA (Fig. 3a), indicating that NF- $\kappa$ B mediates TNF- $\alpha$ -induced expression of TRAIL-R2 in a p53-independent fashion. The parental HCT116 line, containing wild-type p53 (p53<sup>+/+</sup>), and a p53-deficient derivative (p53<sup>-/-</sup>), created by homozygous deletion of endogenous p53 genes through homologous recombination<sup>42</sup>, also showed equivalent basal levels of TRAIL-R2 mRNA (Fig. 3d). p53<sup>+/+</sup> or p53<sup>-/-</sup> HCT116 cells showed an equivalent reduction in



**Figure 3 NF-κB-induced expression of TRAIL-R2 and TRAIL-mediated radiosensitization independent of p53.** **a**, Northern blot analyses of the effect of TNF- $\alpha$  on TRAIL-R2 mRNA levels in wild-type (WT) and p53 $^{-/-}$  MEFs. **b**, Western blot analyses of I $\kappa$ B $\alpha$  expression in p53 $^{+/+}$  or p53 $^{-/-}$  HCT116 cells exposed to irradiation (10 Gy) in the presence or absence of TRAIL (100 ng ml $^{-1}$  + enhancer antibody 2  $\mu$ g ml $^{-1}$ ). **c**, EMSA of κB-specific DNA-binding activity in nuclear extracts of p53 $^{+/+}$  or p53 $^{-/-}$  HCT116 cells exposed to irradiation (10 Gy) in the presence or absence of TRAIL (100 ng ml $^{-1}$  + enhancer antibody 2  $\mu$ g ml $^{-1}$ ). **d**, Western blot analyses of TRAIL-R2 expression in irradiated p53 $^{+/+}$  or p53 $^{-/-}$  HCT116 cells exposed to TRAIL (100 ng ml $^{-1}$  + enhancer antibody 2  $\mu$ g ml $^{-1}$ ). **e, f**, Representative photomicrographs illustrating the effects of either IR (10 Gy), TRAIL (100 ng ml $^{-1}$  + enhancer antibody 2  $\mu$ g ml $^{-1}$ ), and IR + TRAIL on survival of p53 $^{+/+}$  or p53 $^{-/-}$  HCT116 cells. Data in **f** represent the percentage survival (viable/lapoptotic + viable) in each cell population (mean  $\pm$  s.d.) from three independent experiments.

Supershift (SS) analysis of DNA-protein complexes was performed an anti-c-Rel specific antibody. **d**, Western blot analyses of TRAIL-R2 expression in irradiated p53 $^{+/+}$  or p53 $^{-/-}$  HCT116 cells exposed to TRAIL (100 ng ml $^{-1}$  + enhancer antibody 2  $\mu$ g ml $^{-1}$ ). **e, f**, Representative photomicrographs illustrating the effects of either IR (10 Gy), TRAIL (100 ng ml $^{-1}$  + enhancer antibody 2  $\mu$ g ml $^{-1}$ ), and IR + TRAIL on survival of p53 $^{+/+}$  or p53 $^{-/-}$  HCT116 cells. Data in **f** represent the percentage survival (viable/lapoptotic + viable) in each cell population (mean  $\pm$  s.d.) from three independent experiments.

I $\kappa$ B $\alpha$  levels and elevation in κB/c-Rel DNA-binding activity in response to irradiation, and irradiation-induced κB DNA-binding was augmented by exposure to TRAIL in both cell types (Fig. 3b, c). Exposure to ionizing radiation (IR) and TRAIL resulted in an equivalent elevation of TRAIL-R2 mRNA in both p53 $^{+/+}$  and p53 $^{-/-}$  HCT116 cells (Fig. 3d); therefore, IR-induced expression of TRAIL-R2 in cells exposed to TRAIL was analogous to its p53-independent expression after treatment with TNF- $\alpha$ .

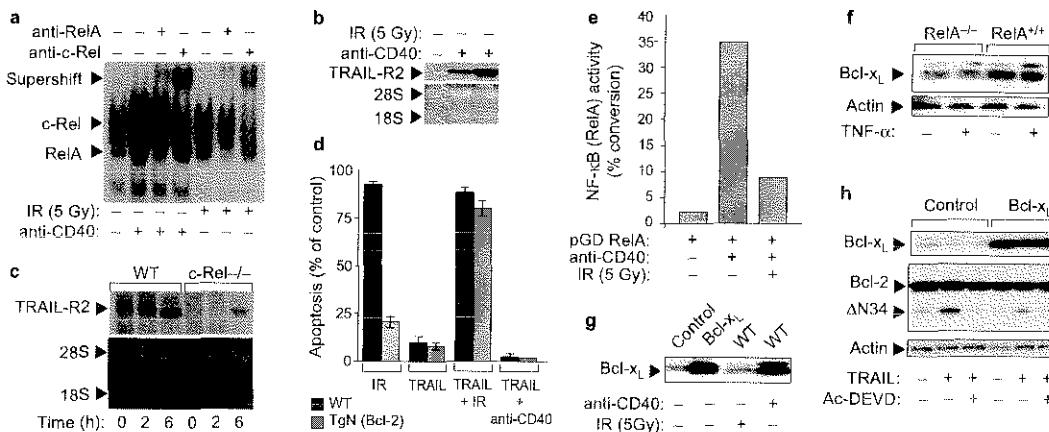
To examine whether the combination of IR with TRAIL can override the radiosensitivity of HCT116 cells, we exposed p53 $^{+/+}$  or p53 $^{-/-}$  HCT116 cells to IR (10 Gy), TRAIL (100 ng ml $^{-1}$ ) or both. Although both p53 $^{+/+}$  and p53 $^{-/-}$  HCT116 cells were resistant to IR-induced apoptosis, exposure to IR resulted in augmentation of TRAIL sensitivity in both cell types, such that either cell population was eliminated within 48 h of treatment (Fig. 3e, f). Together, these data indicate that IR can induce NF-κB-mediated expression of death receptors and augment TRAIL-induced death of both p53 $^{+/+}$  and p53 $^{-/-}$  tumour cells. These data have potentially important implications for the treatment of p53-deficient human cancers by TRAIL-mediated radiosensitization.

The RelA subunit of NF-κB induces Bcl-x $_L$  and protects cells from TRAIL/death-receptor-induced apoptosis. We investigated whether the differential activation of c-Rel- and/or RelA-containing dimers of NF-κB in response to physiological signals (immune

activation by ligation of CD40) or stressful stimuli (DNA damage) influences the expression of death receptors and sensitivity to TRAIL.

Irradiation of B cells activated κB DNA-binding activity in electrophoretic mobility shift assays (EMSA), using a c-Rel consensus binding site as an oligonucleotide probe, and the IR-induced DNA-protein complex was supershifted with an anti-c-Rel antibody (Fig. 4a). Although irradiation of wild-type B lymphocytes resulted in induction of TRAIL-R2 mRNA, IR-inducible levels of TRAIL-R2 were diminished in B cells from c-Rel $^{-/-}$  mice (Fig. 4b, c). Ligation of IR-induced TRAIL-R2 with TRAIL resulted in apoptosis of Bcl-2-overexpressing B lymphocytes (from TgN(Bcl-2) mice), which are otherwise relatively resistant to IR $^{43}$  (Fig. 4d).

Stimulation of resting mouse B lymphocytes with a monoclonal antibody against CD40 also resulted in activation of κB DNA-binding activity in EMSAs (Fig. 4a). The slower migrating DNA-protein complex was supershifted by an anti-c-Rel antibody that does not recognize RelA (Fig. 4a, lane 4), whereas a faster migrating complex was supershifted with an anti-RelA-specific antibody (Fig. 4, lane 3). CD40-mediated activation of c-Rel also induced TRAIL-R2 expression (Fig. 4b), but (unlike IR) it protected lymphocytes from TRAIL-induced death (Fig. 4d). Either CD40 ligation or IR activated c-Rel, but RelA-induced transcriptional activation of a HIV-CAT reporter (driven by two κB sites contained



**Figure 4** The RelA subunit of NF-κB induces Bcl-x<sub>L</sub> and protects cells from TRAIL/death receptor-induced apoptosis. **a**, NF-κB DNA-binding activity in nuclear extracts of primary mouse B lymphocytes exposed to either anti-CD40 antibody (10  $\mu$ g ml<sup>-1</sup> for 16 h) or ionizing radiation (IR; 5 Gy). Supershift (SS) analysis of DNA-protein complexes was performed with anti-c-Rel- or anti-RelA-specific antibodies. **b**, Northern blot analysis of TRAIL-R2/DR5 expression in primary mouse B cells exposed to either anti-CD40 antibody or IR (5 Gy). **c**, Northern blot analysis of IR-induced expression of TRAIL-R2 in primary mouse B cells from wild-type (WT) or c-Rel<sup>-/-</sup> mice. **d**, Effect of IR (5 Gy), TRAIL (100 ng ml<sup>-1</sup> + enhancer antibody 2  $\mu$ g ml<sup>-1</sup>), IR + TRAIL, or anti-CD40 antibody + TRAIL, on survival of mouse B lymphocytes from WT or TgN(Bcl-2) mice. Data (mean  $\pm$  s.d.) are the percentage apoptosis relative to untreated controls ( $n = 3$ ). **e**, RelA-mediated HIV-CAT expression in

activated B lymphocytes in response to CD40 or IR. **f**, Immunoblot analyses of basal or TNF- $\alpha$ -induced expression of Bcl-x<sub>L</sub> in RelA<sup>-/-</sup> or RelA<sup>+/+</sup> fibroblasts. **g**, Expression of Bcl-x<sub>L</sub> in mouse B cells in response to CD40 ligation or IR. HL-60-Neo (Control) or Bcl-x<sub>L</sub>-overexpressing HL-60 (Bcl-x<sub>L</sub>) cells were used as controls. **h**, Inhibition of caspase-3-mediated cleavage of Bcl-2 and TRAIL-induced death by expression of Bcl-x<sub>L</sub>. HL-60-Neo (Control) or HL-60-Bcl-x<sub>L</sub> (Bcl-x<sub>L</sub>) cells were exposed to TRAIL (100 ng ml<sup>-1</sup>) with or without pretreatment with Ac-DEVD-CHO (300  $\mu$ M) and analysed for expression of Bcl-x<sub>L</sub> and Bcl-2 12 h later. The full-length Bcl-2 (26K) and the Bcl-2 cleavage product (23K; ΔN34) are indicated. Percentage of each cell population that underwent apoptosis after 24 h: HL-60-Neo, 80  $\pm$  5%; HL-60-Bcl-x<sub>L</sub> cells, 27  $\pm$  3%.

in the long-terminal repeat) was increased by anti-CD40 treatment but not by exposure to IR (Fig. 4e). This suggested that co-activation of RelA by CD40 ligation might inhibit TRAIL-induced apoptosis through RelA-induced expression of survival factor(s).

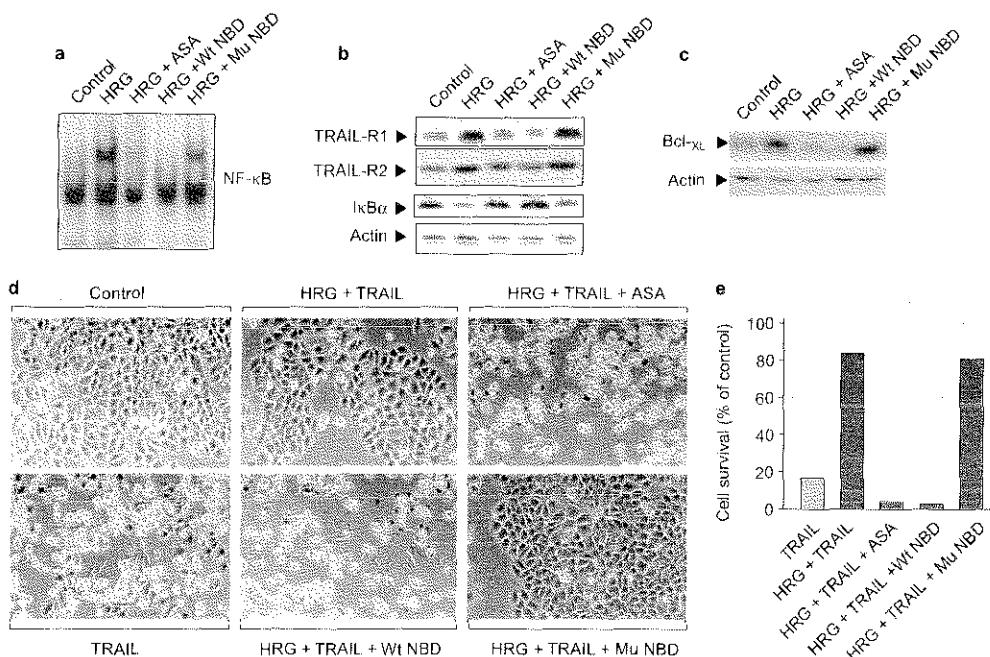
Compared with RelA<sup>+/+</sup> cells, RelA<sup>-/-</sup> cells exhibited reduced basal and TNF- $\alpha$ -inducible expression of the apoptosis inhibitor, Bcl-x<sub>L</sub> (Fig. 4f). As Bcl-x<sub>L</sub> expression in resting B cells was increased markedly in response to anti-CD40 (Fig. 4g), we investigated whether Bcl-x<sub>L</sub> could inhibit TRAIL-induced death. Exposure of HL-60 cells (expressing wild-type Bcl-2; relative molecular mass ( $M_r$ ) 26,000 (26K)) to TRAIL (100 ng ml<sup>-1</sup>) resulted in the death of more than 80  $\pm$  5% of the population within 24 h of treatment. This was associated with the appearance of a caspase-3-dependent 23K Bcl-2 cleavage product (Fig. 4h), previously identified as a C-terminal fragment (ΔN34; cleaved at Asp34) that lacks the loop domain and functions as a Bax-like death effector<sup>41</sup>. Stable transfection of a vector encoding Bcl-x<sub>L</sub> into HL-60 cells inhibited caspase-3-dependent cleavage of Bcl-2 (Fig. 4h) and reduced TRAIL-induced apoptosis (27  $\pm$  3% death of the total population at 24 h). Therefore, the reduction of TRAIL-induced apoptosis of B cells in the presence of anti-CD40 (despite c-Rel-mediated expression of TRAIL-R2) reflects the dominant protective effect of Bcl-x<sub>L</sub> induced through the co-activation of RelA in activated B cells.

Together, these results illustrate the biological significance of NF-κB activity in regulating expression of both the death receptors and survival factors that determine cellular sensitivity to TRAIL. Our observations suggest that IR-induced NF-κB-mediated induction of death receptors can synergize with TRAIL to eliminate B cells overexpressing Bcl-2—a finding that may have implications for the treatment of resistant tumours, such as human follicular lymphomas. Our studies also indicate that RelA-mediated expression of Bcl-x<sub>L</sub> may be responsible for the resistance of CD40-activated or transformed B cells to apoptotic signals transduced by death receptors.

**Inhibition of NF-κB by blocking activation of the IKK complex sensitizes tumour cells to TRAIL.** To determine the physiological significance of NF-κB in both the regulation of death receptor signalling and the sensitivity of tumour cells to TRAIL, we examined the effect of recombinant heregulin β1 (HRG β1), a ligand that induces HER-2/neu (c-erbB2)-mediated activation of NF-κB<sup>42</sup>. Exposure of MCF-7 human breast cancer cells to HRG β1 increased κB DNA-binding activity in EMSAs (Fig. 5a), and increased expression of TRAIL-R1 (4.2-fold induction relative to an actin control) and TRAIL-R2 (3.0-fold induction) (Fig. 5b). However, exposure of MCF-7 cells to HRG β1 also promoted the expression of Bcl-x<sub>L</sub> (3.4-fold induction), and rendered them relatively resistant to TRAIL (Fig. 5d, e).

Activation of NF-κB requires the phosphorylation and ubiquitin-mediated degradation of IκBα by the IKK complex, which contains two kinases (IKK-α and IKK-β), and the regulatory protein NEMO (NF-κB essential modifier)<sup>43</sup>. A cell-permeable peptide (NEMO-binding domain (NBD) peptide) that blocks the interaction of NEMO with the IKK complex inhibits cytokine-induced NF-κB activation<sup>44</sup>. The anti-inflammatory agent, acetyl salicylic acid (aspirin; ASA), also specifically inhibits the activity of IKK-β<sup>45</sup>.

Inhibiting activation of the IKK complex by either ASA or the wild-type NBD peptide prevented HRG β1-induced loss of IκBα or activation of NF-κB (Fig. 5a, b). Exposure of MCF-7 cells to either ASA or wild-type NBD (but not a mutant NBD peptide) prevented HRG β1 from either inducing expression of TRAIL-R1, TRAIL-R2 or Bcl-x<sub>L</sub> (Fig. 5b, c). Exposure to either ASA or wild-type NBD (but not mutant NBD) inhibited HRG β1-mediated protection of MCF-7 cells from TRAIL-induced apoptosis (Fig. 5d, e). These data indicate that NF-κB promotes expression of both death receptors for TRAIL and Bcl-x<sub>L</sub>, a protein that blocks death signals transduced by TRAIL. The dominant anti-apoptotic effect of Bcl-x<sub>L</sub> allows NF-κB-activating cytokines, such as HRG β1, to confer protection against TRAIL. Conversely, inhibition of NF-κB after death receptor ligation can sensitize tumour cells to TRAIL.



**Figure 5 Inhibition of NF-κB by blocking activation of the IKK complex sensitizes tumour cells to TRAIL.** **a**, EMSA of NF-κB DNA-binding activity in nuclear extracts of MCF-7 cells exposed to recombinant heregulin  $\beta 1$  (HRG) in the absence or presence of either aspirin (ASA; 3 mM), a cell-permeable peptide spanning the IKK $\beta$  NEMO-binding domain (wild-type (Wt NBD) or mutant (Mu NBD); 250  $\mu$ M). Untreated MCF-7 cells were used as controls (Control). **b, c**, Immunoblot analyses of TRAIL-R1, TRAIL-R2, I $\kappa$ B $\alpha$  and Bcl-x $_L$  protein expression in MCF-7 cells after exposure to HRG for 12 h (in the absence or presence of either ASA, Wt NBD or Mu

NBD). Untreated MCF-7 cells served as controls. **d, e**, Untreated or HRG-treated MCF-7 cells were exposed to TRAIL (100 ng ml $^{-1}$  + enhancer antibody 2 mg ml $^{-1}$ ) in the absence or presence of either ASA (3 mM), Wt NBD (250  $\mu$ M) or Mu NBD (250  $\mu$ M) for 24 h. Representative photomicrographs illustrating the survival/apoptosis of MCF-7 cells in each group are shown in **d**. Data in **e** represent the percentage survival (viable/[apoptotic + viable]) in each cell population (mean) from three independent experiments.

## Discussion

NF-κB has apparently conflicting roles in the regulation of cell survival in several well-defined physiological systems and pathological states $^{25-30}$ . Targeted disruption of the RelA subunit of NF-κB results in massive hepatic apoptosis and the embryonic death of mice $^{26}$ . RelA deficiency or NF-κB inhibition by phosphorylation mutants of I $\kappa$ B $\alpha$  sensitizes cells to TNF- $\alpha$ -induced death $^{27-30}$ . Activation of NF-κB by co-stimulation of lymphocytes mediates cell survival and clonal proliferation, and inhibition of NF-κB by I $\kappa$ B mutants promotes activation-induced apoptosis of T cells, and loss of CD8 $^+$  T cells in the thymus $^{31}$ .

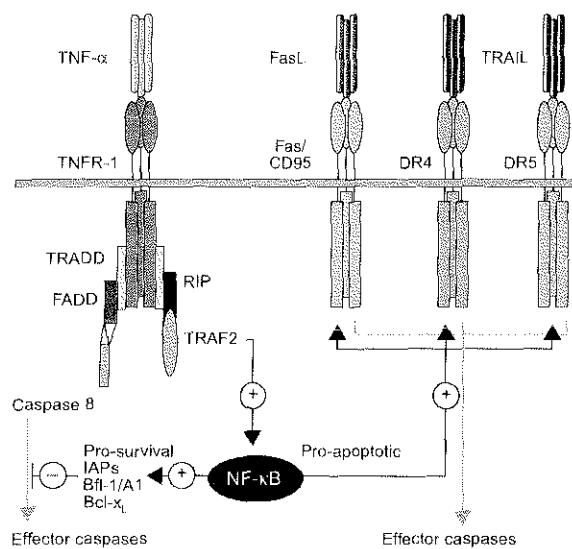
In contrast to its demonstrated protective role in these studies, NF-κB can adopt a pro-apoptotic function in other circumstances. Constitutive activation of NF-κB in mouse embryos through targeted disruption of I $\kappa$ B $\alpha$  results in a lethal phenotype manifesting thymic and splenic atrophy $^{32}$ , and high levels of the c-Rel subunit of NF-κB are observed during apoptosis in the developing avian embryo $^{33}$ . NF-κB has also been reported to be essential in p53-mediated apoptosis $^{38}$ . NF-κB exhibits contrasting effects on neuronal cell survival; while it protects neurons from  $\beta$ -amyloid-induced death, it promotes cell death in cerebral ischaemic and neurodegenerative disorders $^{39}$ . Activation of NF-κB by ischaemic or stress-induced signals, such as hypoxia or DNA damage, may be protective in some situations and detrimental in others. These observations raise a fundamental issue of how NF-κB can have divergent effects on cell survival depending on the cell type and the specific activating signal.

Here we have shown that NF-κB induces the expression of both death receptors (TRAIL-R1, TRAIL-R2) and survival genes such as

Bcl-x $_L$ ; however, the κB motifs in pro- or anti-apoptotic genes seem to exhibit selective affinity for activation by dimers composed of specific subunits of NF-κB. The varying phenotypes of knockout mice lacking individual Rel proteins reveal that the different subunits share certain functions, but also perform unique roles that cannot be complemented and may even be opposed by other family members. As κB sites on certain survival or pro-apoptotic genes exhibit specific preferences for RelA and c-Rel, the balance between different NF-κB dimers may determine the susceptibility of cells to diverse stressful stimuli that activate NF-κB.

Although our results suggest that subunit-specific regulation of death-modulating genes provides a mechanism that may underlie the seemingly paradoxical effects of NF-κB on cell survival, it is also conceivable that dimers composed of either subunit could have different effects depending on the cell type and the circumstances or duration of activation. For example, RelA seems able to stimulate expression of Fas/CD95 (ref. 48), and c-Rel can induce expression of genes such as inducible nitric oxide synthase (iNOS), interleukin-2 or Bfl-1/A1 (refs 33, 34), which may serve anti-apoptotic functions. In situations where activity of a particular subunit is deregulated, it may also adopt a promiscuous ability to induce 'death' or 'survival' genes that are not the normal transcriptional targets. As such, the final cellular response to apoptotic signals may be determined by the relative activity of different dimers comprising specific subunits, as well as by the duration and level of activity of the particular dimers involved.

Identifying approaches that sensitize cancer cells to apoptosis while concurrently protecting normal tissues might improve the



**Figure 6 Representation of the molecular determinants of the contrasting effects of NF-κB on cell survival.** TNF-induced aggregation of the death domains of TNFR1 enables recruitment of the adapter protein TRADD (TNFR1-associated death domain). The death domain of TRADD recruits FADD (Fas-associated protein with death domain/Mort1) which, in turn, binds and activates caspase-8, the proximal member of a cascade of effector caspases that execute cell death. The TNFR1-TRADD complex also recruits proteins (TRAF2 and RIP) which signal the activation of NF-κB. Activation of NF-κB protects cells against TNF-α or TRAIL-induced death through induction of pro-survival genes, such as members of the IAP family (c-IAP1, c-IAP2, XIAP) or the Bcl-2 homologues, Bfl-1/A1 and Bcl-x<sub>L</sub>. NF-κB may also function as a pro-death factor by inducing expression of death receptors (CD95/Fas, TRAIL-R1/DR4, TRAIL-R2/DR5) which trigger caspase activation and apoptosis.

therapeutic ratio of anticancer agents. Although the activation of TRAIL-R1/TRAIL-R2 signalling by TRAIL offers a potential mechanism of inducing apoptosis in tumours that resist conventional genotoxic therapy, the therapeutic ratio of this approach depends on the differential basal expression of death or decoy receptors and pro-survival proteins in tumour cells and normal tissues<sup>29</sup>.

Our studies indicate that the composition and activity of NF-κB in tumour cells is a key determinant of the expression of TRAIL receptors or survival proteins and their susceptibility to apoptosis after ligation with TRAIL. Our data also indicate that TRAIL can synergize with genotoxic agents to eliminate p53-deficient or Bcl-2-overexpressing tumour cells that are otherwise resistant to DNA-damage-induced apoptosis. However, endogenous or cytokine-induced activation of the RelA subunit induces Bcl-x<sub>L</sub> and protects tumour cells from TRAIL. Most significantly, our findings indicate that inhibiting NF-κB after the ligation of death receptors can reduce Bcl-x<sub>L</sub> expression and sensitize tumour cells to TRAIL-induced apoptosis. The identified roles of NF-κB in death receptor expression and signalling may aid the rational design of regimens using TRAIL to eliminate tumour cells while sparing normal tissues. □

## Methods

### Cell lines and cell culture.

RelA<sup>-/-</sup> and RelA<sup>+/+</sup> mouse fibroblasts<sup>30</sup> (A. A. Beg, Columbia Univ., USA), c-Rel<sup>-/-</sup> mouse fibroblasts<sup>31</sup> (S. Gerondakis, WEH, Australia), p53<sup>-/-</sup> MEFs (T. Jacks, MIT, USA), and MEFs stably transfected with a plasmid encoding tetracycline (pLcB6/MSN) or the empty control vector (pLXSN)<sup>32</sup> (D. R. Green, La Jolla Institute of Allergy and Immunology, USA) were maintained in high-glucose DMEM (Life Technologies, Inc.) supplemented with 10% fetal calf serum (FCS), penicillin (100 U ml<sup>-1</sup>) and streptomycin (100 µg ml<sup>-1</sup>) at 37 °C and 5% CO<sub>2</sub>.

Genes encoding c-Rel (CCR), Δc-Rel (CCR-H); a c-Rel truncation mutant lacking the C-terminal transactivation domain owing to a stop codon at the unique *flmell* site of c-Rel, or RelA were conditionally expressed in HeLa (HTA-1) cells using a tetracycline-regulated system<sup>33,34</sup>. HTA-1 cells, which stably express a fusion protein comprising the *E. coli* tetracycline repressor and the activation domain of the herpes simplex virus VP16 protein (tTA), were a gift from H. Bujard (Heidelberg, Germany). We transfected HTA-1 cells with pUHD10-3-CCR (encoding chicken c-Rel complementary DNA under control of the minimal cytomegalovirus promoter and heptamerized tetracycline operator sites of pUHD10-3) and pUHD27.2 (to confer resistance to hygromycin B), pUHD10-3-hygro-CCR-H (encoding Δc-Rel), or pUHD10-3-hygro-RelA using a modified calcium phosphate procedure. Cell clones stably transfected with c-Rel, Δc-Rel or RelA were selected in hygromycin B (225 µg ml<sup>-1</sup>; Calbiochem) and maintained in DMEM supplemented with 10% FCS, 125 µg ml<sup>-1</sup> G418 (Gibco), 225 µg ml<sup>-1</sup> hygromycin B (Calbiochem), 2 µg ml<sup>-1</sup> tetracycline (Sigma), penicillin (100 U ml<sup>-1</sup>) and streptomycin (100 µg ml<sup>-1</sup>) at 37 °C and 5% CO<sub>2</sub>. We induced gene expression by washing the cells and transferring them to tetracycline-free medium for 48–72 h.

The parental HCT116 human colon adenocarcinoma cell line, containing wild-type p53 (p53<sup>+/+</sup>), and a p53-deficient derivative (p53<sup>-/-</sup>), created by homologous deletion through homologous recombination (B. Vogelstein, Johns Hopkins Oncology Center, USA), were cultured as described<sup>35</sup>. We isolated primary B lymphocytes from splenocytes obtained from wild-type, Bcl-2-overexpressing (TgN(Bcl-2)336Wehi)<sup>36</sup> (Jackson Laboratories) and c-Rel<sup>-/-</sup> mice. *In vitro* activation of mouse B lymphocytes was performed by anti-CD30 monoclonal antibody (10 µg ml<sup>-1</sup>; clone HM40-3; Pharmingen) and goat anti-mouse IgG (H1L, 10 µg ml<sup>-1</sup>; Jackson ImmunoResearch). HL-60 cells transfected with an expression vector encoding Bcl-x<sub>L</sub> (HL-60-Bcl-x<sub>L</sub>) or control vector (HL-60-Control) (K. Bhalla, Emory University, USA) were cultured as described<sup>37</sup>. We inhibited caspase-3 in HL-60 cells by pre-treating the cells with Ac-DEVD-CHO (300 µM; Bachem) for 30 min before administering TRAIL.

MCF-7 human breast cancer cells were maintained in RPMI medium (Life Technologies) supplemented with 10% fetal calf serum (FCS), 100 U ml<sup>-1</sup> penicillin and 100 µg ml<sup>-1</sup> streptomycin at 37 °C and 5% CO<sub>2</sub>. MCF-7 cells were cultured in the presence or absence of recombinant heregulin β1 (100 ng ml<sup>-1</sup>; Neomarkers, Fremont, CA), as indicated.

### Exposure to ligands of the TNF family.

We incubated cells in either 10–100 ng ml<sup>-1</sup> recombinant human TRAIL with enhancer antibody (2 µg ml<sup>-1</sup>; Alexis Corporation), or 100 ng ml<sup>-1</sup> TNF-α (R & D Systems) for 24 h at 37 °C.

### Exposure to inhibitors of the IKK complex.

We inhibited the IKKβ-NEMO interaction and IKK β-induced NF-κB activation by incubating MCF-7 cells with a 250 µM concentration of a cell-permeable peptide spanning the IKKβ NBD. The sequence of the wild-type peptide indicating the Antennapedia homeodomain (lower case) and the IKKβ (upper case) segments and the mutant peptide with the positions of the W to A mutations (underlined) are as follows<sup>38</sup>: wild type, drqkiwqpnrmkwlkTALDWSSWWQTE; mutant, drqkiwqpnrmkwlkTALDASSWAQTE. Both peptides (Genemed Synthesis, San Francisco) were supplied as a 20 mM solution in dimethyl sulphoxide (DMSO). Results for DMSO controls were not different from controls using no peptide. We treated MCF-7 cells with 3 mM acetyl salicylic acid (Aspirin; Sigma), obtained from a 1.0 M stock solution prepared in 0.05 M Tris-HCl.

### Exposure to ionizing radiation.

Ionizing radiation (IR) (500 cGy) was delivered with a <sup>137</sup>Cs dual source  $\gamma$ -cell irradiator.

### Expression vectors and cell transfections.

RelA<sup>-/-</sup> or c-Rel<sup>-/-</sup> MEFs were plated at ~50% confluence 16–24 h before serum-free lipofectin-mediated transfection (12–16 h) with either empty pCEP9 vector or pCEP4-KILLER/DR5 (ref. 10; W. El-Deiry, HHMI, Univ. Pennsylvania). Transiently transfected cell populations were assessed for apoptosis 48 h later, and then selected with 0.5 mg ml<sup>-1</sup> hygromycin B for another 14 d before examination after staining with crystal violet.

### Electrophoretic mobility shift assays.

Nuclear extracts were prepared as described<sup>39</sup>. Double-stranded oligonucleotides containing either a consensus binding site for c-Rel (5'-GGG GAC TTT CCC-3') (Santa Cruz Biotechnology) were 5'-end-labelled using polynucleotide kinase and [ $\gamma$ -32P]dATP. Nuclear extracts (2.5–5 µg) were incubated with 1 µg of labelled oligonucleotide (20,000 c.p.m.) in 20 µl of incubation buffer (10 mM Tris-HCl, 40 mM NaCl, 1 mM EDTA, 1 mM  $\beta$ -mercaptoethanol, 2% glycerol, 1–2 µg of poly dI-dC) for 20 min at 23 °C. The specificity of NF-κB DNA-binding activity was confirmed by competition with excess cold wild-type or mutant oligonucleotide or supershift with either a rabbit polyclonal antibody against c-Rel (Ab-33<sup>40</sup>) or p65 (RelA) (sc-109, Santa Cruz Biotechnology). DNA-protein complexes were resolved by electrophoresis in 5% nondenaturing polyacrylamide gels and analysed by autoradiography.

### Reporter assays.

We co-transfected CD10-activated B cells with HIV1-LTR-CAT reporter, RelA expression vector (pGID RelA) (using reporter and activator DNA in a 1:2 ratio) and a  $\beta$ -galactosidase expression vector (pON260). Transfected cells were irradiated (5 Gy) or left untreated, and assessed 6 h later for HIV-CAT expression using thin layer chromatography and a PhosphorImager (Molecular Dynamics)<sup>39</sup>. HIV-CAT activity was expressed as percentage conversion and normalized to  $\beta$ -galactosidase activity.

### Immunoblot assays.

Cell lysates were prepared as described<sup>39</sup>, and 50–100 µg of protein were resolved by SDS-PAGE, transferred onto Immobilon-P PVDF membrane (Millipore), and probed with appropriate dilutions of the following primary antibodies: anti-c-Rel (Ab-33<sup>40</sup>); anti-IKK $\beta$  (C-12); anti-p65 (RelA) (sc-109); anti-Bcl-x<sub>L</sub> (S-18); anti-Bcl-2 (100) and anti-Actin (C-11) (Santa Cruz Biotechnology); rabbit polyclonal anti-TRAIL-R1 (AHR5012; Biosource International) or anti-TRAIL-R2 (AHR5022; Biosource International). We visualized immunoreactive protein complexes by enhanced chemiluminescence (Amersham).

## RNA extraction and northern blot hybridization.

Total RNA was extracted using Trizol (Life Technologies). RNA samples (20 µg) were analysed in 1.2% agarose-formaldehyde gels, transferred onto Zeta probe membranes (Biorad), and ultraviolet crosslinked with a Stratalinker (Stratagene). The membranes were hybridized to the following <sup>32</sup>P-labelled probes: (1) human TRAIL-R1/DR4 cDNA (Alexis Corporation); (2) a partial length cDNA representing a 364-bp *Eco*RI fragment of mouse KILLER/DR5 (W. El-Deyri, HHMI, Univ. Pennsylvania); (3) human TRAIL-R2/DR5/KILLER cDNA (Alexis); and (4)  $\beta$ -actin. We washed membranes in SSPE/0.1% SDS at 65 °C, and visualized them by autoradiography.

## Flow cytometry.

HeLa cells induced to express either c-Rel (CCR43) or  $\Delta$ -c-Rel (CCR115) for 48 h were irradiated (10 Gy) or left untreated. After 12 h, cells were collected with trypsin (0.25% at 37 °C for 5 min), washed twice in PBS at 4 °C and FACS buffer (PBS, 1% BSA, sodium azide 0.05%), and stained with goat anti-human TRAIL-R2 polyclonal antibody (AB1687; Chemicon International) for 30 min at 4 °C. Cells were washed twice with FACS buffer, exposed to PE-conjugated donkey anti-goat IgG for another 30 min at 4 °C (Sigma), and analysed by a FACScan flow cytometer (Becton Dickinson). Cells treated with secondary antibody alone were used as unstained negative controls.

## Confocal microscopy.

HeLa cells were seeded onto eight-well chamber slides (Nunc, Naperville, IL) and induced to express either c-Rel (CCR43) or  $\Delta$ -c-Rel (CCR115) for 48 h before fixation in 2% paraformaldehyde for 5 min. We permeabilized the cells with 0.1% saponin in PBS containing 10% human AB serum for 10 min, and then incubated them with goat anti-human TRAIL-R2 polyclonal antibody (AB1687; Chemicon International) (1:300 in PBS containing 1% human AB serum) at 4 °C for 1 h. After washing with PBS, cells were incubated with FITC-conjugated donkey anti-goat IgG (H+L) secondary antibodies (Molecular Probes, Eugene, OR) at 4 °C for 45 min. Cells were counterstained with propidium iodide and examined using a Zeiss Axiohot microscope with a confocal attachment and a digital camera.

## Analysis of cell death.

Cells were assessed for morphological features of apoptosis using phase-contrast microscopy. We quantified cell survival at the indicated intervals by trypan blue dye exclusion. The cell viability was measured by scoring at least 200 cells in each group, and the average per cent viability was calculated from three different experiments.

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Appendix 3 - Figure

